



FIRST THINGS FIRST

The right system for bright futures

**Arizona Early Childhood Development and Health Board
4000 North Central, Suite 800
Phoenix, Arizona 85012**

**Family Resource Centers
South Phoenix Regional Partnership Council**

**Request for Grant Application (RFGA)
FTF-RC014-10-0234-00**

Deadline	Grant Applications shall be submitted on or before 10:00 a.m. (Arizona MST) on November 20, 2009 at First Things First, 4000 North Central Avenue, Suite 800, Phoenix, Arizona 85012.
Procurement Guidelines	<p>In accordance with A.R.S §41-2701, competitive sealed grant Applications for the services specified within this document will be received by First Things First at the above-specified location until the time and date cited. Grant Applications received by the correct time and date will be opened and the name of each Applicant will be publicly read.</p> <p>Grant Applications must be in the actual possession of First Things First on or prior to the exact time and date indicated above. Telefaxed, electronic, or late grant Applications <u>shall not</u> be considered.</p> <p>Grant Applications must be submitted in a sealed envelope with the RFGA Number and the Applicant's name and address clearly indicated on the envelope.</p> <p>All Applications must be typewritten and a complete grant Application returned along with the offer by the time and date cited above. Additional instructions for preparing a grant Application are included within this document.</p> <p>Applicants are strongly encouraged to read the entire Request for Grant Application document carefully.</p> <p>It is the sole responsibility of Applicants to check the First Things First website for any changes to this RFGA, http://azftf.gov.</p>
Pre-Application Conference	Prospective Applicants are encouraged to attend a Pre-Application Conference on October 19, 2009 at 1:00 p.m. at First Things First, 4000 N. Central Ave., Suite 800, 8 th Floor Board Room in Phoenix, Arizona. The purpose of the meeting is to discuss and clarify this Request for Grant Application.
Special Accommodations	Persons with a disability may request reasonable accommodation such as a sign language interpreter by contacting the Grants and Contracts Procurement Specialist at grants@azftf.gov or via Fax (602) 265-0009. Requests should be made as early as possible to allow time to arrange the accommodation.
Contract Information	<p><u>Service</u>: First Things First Regional Funding</p> <p><u>Contract Type</u>: Cost Reimbursement</p> <p><u>Contract Term</u>: The effective date of this Contract shall be the date that the First Things First designee signs the Offer and Acceptance form or other official contract form (estimated February 1, 2010) and shall remain in effect until June 30, 2010, unless terminated, cancelled or extended as otherwise provided herein.</p>
Contact Information	<p>Grants and Contracts Procurement Specialist</p> <p>First Things First</p> <p>Fax: (602) 265-0009</p> <p>Email: grants@azftf.gov</p>



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CERTIFICATION

TO THE STATE OF ARIZONA, ARIZONA EARLY CHILDHOOD DEVELOPMENT AND HEALTH BOARD:

If awarded a grant, the Undersigned hereby agrees to all terms, conditions, requirements and amendments in this request for grant Application and any written exceptions, as accepted by the Arizona Early Childhood Development and Health Board in the Application.

APPLICANT OFFER

Arizona Transaction (Sales) Privilege Tax License No.: _____ Name of Point of Contact Concerning this Application: _____

_____ Name: _____

Federal Employer Identification No.: _____ Phone: _____ Fax: _____

_____ E-Mail: _____

_____ Signature of Person Authorized to Sign Offer

_____ Printed Name

_____ Title

By signature in the Offer section above, the Applicant certifies:

1. The submission of the Application did not involve collusion or other anti-competitive practices.
2. The Applicant shall not discriminate against any employee or Applicant for employment in violation of Federal Executive Order 11246, State Executive Order 99-4 or A.R.S. §41-1461 through §1465.
3. The Applicant has not given, offered to give, nor intends to give at any time hereafter any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor, or service to a public servant in connection with the submitted offer. Failure to provide a valid signature affirming the stipulations required by this clause shall result in rejection of the offer. Signing the offer with a false statement shall void the offer, any resulting contract and may be subject to legal remedies provided by law.

ACCEPTANCE OF APPLICATION

The Application is hereby accepted. The Applicant is now bound to perform as stated in the Applicant's grant Application as accepted by the Arizona Early Childhood Development and Health Board and the Request for Grant Application document, including all terms, conditions, requirements, amendments, and/or exhibits.

This grant shall henceforth be referred to as Grant No. _____

Arizona Early Childhood Development and Health Board,
Awarded this _____ day of _____, 20____

Jeanne Weeks, Grants and Contracts Procurement Specialist

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What is the Arizona Early Childhood Development and Health Board (First Things First)?

In November 2006, Arizona voters passed Proposition 203, also known as **First Things First**, a citizen's initiative that funds quality early childhood development and health at the state and local level. The Proposition created a new state level board known as the Arizona Early Childhood Development and Health (AzECDH) Board, also known as the Board of First Things First, and the Regional Partnership Councils.

First Things First Mission

The mission of First Things First is to increase the quality of, and access to, early childhood programs that will ensure a child entering school arrives healthy and ready to succeed. This mission will principally be achieved through regional grants tailored to the specific needs and characteristics of the communities that the regions serve, with a focus on demonstrating improved outcomes around First Things First's six goal areas prioritized by the challenges the regions face. This mission is also accomplished through statewide initiatives that have been prioritized by the Board of First Things First.

This statewide policy and regional perspective are critical to the success of the First Things First mission. Early childhood development and health system initiatives from First Things First will be coordinated through statewide initiatives and regional priorities.

First Things First Goal Areas

The First Things First initiative specifies that programs undertaken by the Arizona Early Childhood Development and Health Board and the Regional Partnership Councils are to accomplish one or more of the following Goal Areas:

- Improve the quality of early childhood development and health programs.
- Increase the access to quality early childhood development and health programs.
- Increase access to preventive health care and health screenings for children through age five.
- Offer parent and family support and education concerning early childhood development and literacy.
- Provide professional development and training for early childhood development and health providers.
- Increasing coordination of early childhood development and health programs and provide public information about the importance of early childhood development and health.

What is the Funding Source?

The First Things First initiative provides for the distribution of funding through both statewide and regional grants.

Of the monies expended in a fiscal year from the First Things First program account, no more than ten percent may be used to fund statewide grants or programs. Statewide programs are

considered those implemented across regional boundaries and are designed to benefit Arizona's children as a whole.

This Request for Grant Application is specifically dedicated to funding regional programs. Regional funding is based on the approval of the Regional Partnership Council funding plans submitted to the Board of First Things First. Funding is allocated to each Regional Partnership Council based on the population of children aged five or younger and the population of children aged five or younger whose family income does not exceed 100 percent of the Federal Poverty Level.

The Regional Partnership Council that is involved in the release of this Request for Grant Application includes the following:

- South Phoenix Regional Partnership Council

Who is Eligible to Apply for this Funding Opportunity?

First Things First awards grants to:

- Non-profit 501 (c) (3) organizations providing services in Arizona (both secular and faith-based)
- Units of Arizona government (local, county and state entities as well as schools and school districts)
- Federally recognized Tribal governments or entities providing services within Arizona
- Arizona institutions of higher learning (colleges and universities)
- Private organizations providing services in Arizona

All potential Applicants must demonstrate organizational, fiscal and programmatic capacity to meet the requirements described in the scope of work listed in this RFGA.

What is the Total Funding Amount Available in this Request for Grant Application?

1. This is a five (5) month contract with an option for renewal for two (2) additional twelve (12) month periods. Total funds available on an annual basis are expected to be approximately \$945,000, with the understanding that a prorated amount of \$393,750 will be available for the first five (5) month funding period. First Things First reserves the right not to award the entire amount of available funds or to award an amount that is greater than the posted available funds. Renewal will be contingent upon satisfactory contract performance, evaluation and availability of funds. Applicants are to complete two separate budget forms, 1) a 5-month budget and 2) a 12-month budget estimate, for a total of 17 months. The first contract period will be 5 months and if renewed, the second contract period will be 12 months. The 5-month period is estimated to be February 1, 2010 through June 30, 2010. The 12-month period is estimated to be July 1, 2010 through June 30, 2011. Multiple awards may be made, but a single award may be considered if the applicant demonstrates the ability to serve the entire South Phoenix Regional Council area.

Scope of Work: What Will This Grant Fund?

The South Phoenix Regional Partnership Council has identified the need to increase the availability and capacity of family resource centers to serve families of children birth through age five, providing family support and services, information, and high-quality social support.

The South Phoenix Regional Partnership Council serves, and applications submitted in response to this RFGA must serve families with children birth through age five in the zip codes including 85009, 85031, 85033, 85035, 85037, 85040, 85041, 85042, 85043, and 85339. This area includes (but is not limited to) South Phoenix, Laveen, and Maryvale.

The target population expected across all funded programs is at least 1,100 families or more with children birth through five years of age who reside or receive services within the regional boundaries. Applicants must provide a detailed plan for outreach to target vulnerable populations including low-income families, homeless families, teen parents, single parent households, and other at-risk populations.

A regional needs and assets assessment of the South Phoenix Regional Partnership Council has shown that families lack needed supports and access to services, particularly families at-risk. Many parents – particularly the region’s high number of teen parents – need parenting education, yet there are very few parenting classes available to new parents. In general, families lack awareness of available community resources and services. Furthermore, the lack of coordination among service providers in the region has resulted in the lack of a comprehensive network of support for families. To address excessive family stresses and challenges faced by families in the South Phoenix area, the Regional Council will be providing funding to expand or create family resource centers.

Family resource centers offer a myriad of services to family members, building on family strengths and adapting to community needs. Family resource centers offer a comprehensive array of services, and are integrated in their communities. They are located in neighborhoods where families can easily access the supports families need in a friendly, non-threatening environment. Family resource centers can serve as catalysts for broader systems-change efforts at the local and state level.

Evidence suggests that family resource centers hold promise in strengthening and producing positive outcomes for families. Family resource centers engage local community organizations and local government in the identification and resolution of community concerns. They offer families support and link them to available social, educational, health services.

Parent education programs offered at family resource centers help parents acquire and internalize parenting and problem-solving skills necessary to build healthy families. Effective parenting education develops nurturing and attachment, knowledge of parenting and of child development, parental resilience, and social connections and support for parents. Research suggests that improving fundamental parenting practices reduces the likelihood of problem behaviors in children. It has been shown that parent-child relationships can be enhanced through parent training and family strengthening programs.

Implementation Requirements:

This Request for Grant Application is seeking Applicants to address these specific Goals and Key Measures:

First Things First Goal Area to be addressed:

- Family Support

First Things First Goals to be addressed:

- First Things First will coordinate and integrate with existing education and information systems to expand families' access to high quality, diverse and relevant information and resources to support their child's optimal development.
- First Things First will lead cross-system coordination efforts among state, federal, and tribal organizations to improve the coordination and integration of Arizona programs, services, and resources for young children and their families.
- First Things First will increase the availability, quality, and diversity of relevant resources that support language and literacy development for young children and their families.

First Things First Key Measures to be addressed:

- Percentage of families with children birth through age five who report they are competent and confident about their ability to support their child's safety, health, and well-being
- Percentage of families who report they are satisfied with the level of coordination and community among agencies serving their children
- Percentage of families with children birth through age five who report they are satisfied with the accessibility of information and resources on child development and health
- Percentage of families of children birth through age five who report they maintain language and literacy rich home environments (e.g. children hear language throughout the day, children have opportunities for listening and talking with family members, books and other literacy tools and materials are available and accessible to children)

As part of successful program implementation, Applicants should describe how they would establish, expand, or enhance family resource centers to provide families with access to the information and support necessary to strengthen family and community life, and enhance the healthy growth and development of young children. Strategies should offer all families of children birth through age five access to information and resources. They should provide linkages and referrals to other community and social services that meet the needs of the families. Resource centers may be diverse in size and services provided. However, all will be located in areas where families routinely congregate (churches, schools, early care and education centers, libraries, etc.) and all may provide parenting education, early literacy development, social support opportunities, and access to local community resources. The proposed resource centers should reflect the social and cultural characteristics of the community as well as the age and needs of the participants.

This strategy will enhance the network of agencies supporting families in the region. Applicants must demonstrate partnerships with community entities in the development of the family

resource centers. Applicants will identify how the evidence to support the programming proposed will be coordinated and aligned across the community with the other community organizations providing services.

Applicants responding to this RFGA may incorporate parent education programs and early language and literacy programs as part of the Family Resource Center. If these strategies are to be implemented, the relevant standards of practice should be addressed in the Applicant's proposal. Applicants are encouraged to refer to Community-Based Family Education (Exhibit B) and Early Literacy (Exhibit C) First Things First Standards of Practice. In addition, as appropriate, Applicants may utilize the Arizona Parents Kit while assisting families with young children (Standards of Practice in Exhibit D).

Applicants must demonstrate plans for recruitment and outreach to families and the community as well as plans to encourage family and community involvement in continuous ongoing program development.

Applicants are to create these centers as the place in neighborhoods where families can go for direct services that empower and strengthen their roles as parents, nurturers, and providers. Grantees will be required to dedicate a caseworker/parent liaison to serve families with children birth through age five at the proposed family resource center sites. Personnel serving in this capacity, at minimum, must possess a bachelor's level degree. The Applicant, as part of their proposal, will describe the caseworker's roles and responsibilities, including how families will be deemed eligible for potential caseworker support.

Family resource centers must provide comprehensive programs and a range of social, educational, and family support activities focused on preventing problems including abuse and neglect, and support of positive parenting practices. In addition, funded programs must provide enrollment assistance to families for public benefits such as KidsCare, AHCCCS, TANF, and Food Stamps using the Health-e-Arizona application, an internet application available to families and organizations that began in December 2008. Grantees will provide additional data related to the provision of application assistance through Health E Arizona; these data, related to application for public health insurance, food stamps or other programs, are required for draw down of federal match where applicable

The following services and programming could be supported through this funding:

- Parent education and support including financial education, GED classes, life skills training
- Training on parenting topics including fostering parent/child communication, positive discipline, anger management, and fostering the health and safety of young children
- Support and training for family child care providers caring for children birth through age five in the region
- Teen parent training and mentor support aimed at families of children birth through age five living in the region

- Peer mentoring of parents of children birth through age five
- Creation or expansion of materials for resource libraries, providing adult parenting education and children's books and audio-visual educational materials for children birth through age five
- Information and referral services that includes linkages to health, behavioral health, and social service programs including parents with children with developmental delay or disabilities
- Play groups that encourage positive and developmentally appropriate activities between parents and children
- Computer/internet access for job searches and resume assistance for working parents
- Child care during the hours families are engaged in family support or training activities

Applicants will be asked to implement the following practices in administering a family resource center and its accompanying programs and services. Applicants should address these requirements in the "strategies" section of their grant proposals. Additionally, Applicants may demonstrate previous experience providing resource center programming and best practice activities.

- Applicants should offer all families of children birth through age five access to information and resources that assist families in addressing the needs of young children and their parents and connect families to community resources.
- Applicant organizations should demonstrate a commitment to incorporating widespread engagement by local residents, organizations, and agencies in the development and implementation of programs and services. Furthermore, they should actively seek to involve local residents in the governance and decision-making aspects of their resource center.
- Applicant organizations should demonstrate ability to meet transportation barriers related to parent outreach and training within the South Phoenix and Maryvale regions by supplying public transportation fares or by offering site locations near the Valley Metro Circulator Routes of Maryvale and Bus Routes 19, 77, 61, 45, 67, 51, 35 and other routes that serve the entire Region.
- Applicant should offer services and programs at locations or sites where families congregate; and/or at locations where at risk populations such as teen parents, single parents, homeless families, parents with children with developmental disabilities or delays can be reached and supported.
- Applicants with large operating budgets are encouraged to partner with smaller community based providers, faith-based organizations, and agencies when applying for First Things First South Phoenix Resource Centers and Parent Liaison funding, to demonstrate a commitment to build the capacity of both families and communities.
- Additionally, Applicants may choose to address and incorporate literacy development and adult education elements into their programming (and grant proposal). Applicants may also choose to utilize The Arizona Parents Kit while assisting families with young children.

It is the Regional Council's desire to ensure that family resource centers are geographically dispersed throughout the region. Review of Applications will take into account the Regional Council's intent to award grants in a geographically diverse manner to serve the entire South

Phoenix Regional Council area and therefore, it is desired that multiple applicants will be funded considering the amount of funding available. The intent of this funding is to allow for the expansion of resources to underserved locations in the region, specifically the underserved communities within the region, in addition to allowing for the development of new services. This funding emphasizes collaboration among numerous community and neighborhood-based entities. The Regional Council's priority is to fund resource centers located in early childcare and education settings and/or family resource centers in community-based settings. Funding for family resource centers could occur in three areas:

- Increasing the capacity of currently existing resource centers to better serve the birth through age five population
- The creation of new resource centers in high need areas including zip code areas 85037, 85339, 85043, and 85041 where children birth through age five are underserved
- Increasing the capacity of child care centers to have a dedicated resource center for children birth through age five being served

Minimally, each resource center should have a dedicated FTE staff person who acts as a parent liaison or caseworker. The resource center should accommodate a computer and office space, and meeting space/site to accommodate families for training and/or support activities. The space should be accessible both by location and also by extended hours of operation. Applicants may propose the new construction of centers or sites to meet the need of the community where children and families are not being currently served. New construction, major renovation, or remodeling to existing property requires a 50 percent match by the Applicant, which must be secured at the time of the Applicant's request for funding.

Total funds available on an annual basis is expected to be approximately \$945,000 with the understanding that a prorated amount of \$393,750 will be available for the first five (5) month funding period. The expectation of the South Phoenix Regional Partnership Council is that multiple family resource centers will be funded, although one may be considered if the applicant demonstrates the ability to serve the entire South Phoenix Regional Council area. (Applicants may propose implementation of the resource center activities at more than one site, i.e. that Applicant has oversight of multiple locations). If Applications are not received from a particular geographic area of the region or if an Application submitted is not deemed susceptible to funding by the review committee or falls below a review-scoring threshold, all funding may not be awarded. First Things First also reserves the right to fund more than one family resource center in a geographical area of the Region.

Applicants may request funding for the coordination of services in targeted areas of the region, including costs such as staffing and outreach materials. In developing its total award amount, the Regional Partnership Council developed budget estimates. **These budget estimations are provided as background for estimation purposes and information only.** Applicants are encouraged to submit a budget request that meets the staffing and infrastructure needs for successful program implementation.

The estimated cost of expanding an existing community-based family resource center could include expenses such as \$33,000 to \$45,000 (annually) per parent liaison or caseworker for salaries plus \$35,000 per site for infrastructure costs including operating expenses such as computers, space, materials, etc. and does not account for ERE expenses. Additional costs for the creation of new community-based family resource centers would include an additional \$10,000 for infrastructure costs (\$45,000 total for infrastructure). The creation of new family resource centers, which may not have infrastructure or capacity including staffing, might also include a site supervisor in addition to multiple caseworkers and/or community liaisons in the budget proposal.

Budget estimates also included costs for implementation of a strategy to develop resource centers for families receiving services in early care and education (childcare) settings. This includes costs for one FTE Resource and Referral Specialists per site (estimated at \$45,000 annually each) plus an additional \$10,000 for programmatic costs such as computer hardware and software, pamphlets, books and other materials to provide easily accessible information to families. The specialist will also provide the direct service of resource and referral and follow up to all families at the site seeking guidance or assistance. The resource center and staff (one FTE) to be located at each site.

Pertaining to new construction, major renovation, or remodeling to existing property and the 50 percent required match, First Things First has established guidelines for capital expenditures and new construction. Applicants will need to demonstrate strong justification to support the needs within a region, and in the case for facilities with matching funds, the Applicant's proposal will include costs for such items. All of the following should be demonstrated in the Applicant's response to the RFGA.

- Evidence of strong on-going support from the community and how such funding will enable regions to reach their pre-determined measurable outcomes and sustain efforts without grant funding.
- Description of other attempts to meet this need and narrative that describes how no other resources exist (other than matching funds) in the community to meet this need.
- Justification of how it is expected that sustainability and operational resources are available after the life of this grant award.
- Description of the anticipated possible ownership and maintenance for the capital asset should the entity no longer utilize the asset for the purpose for which funding support was approved by the Board.
- In the event of new construction, major renovation, or remodeling to existing property there is a 50 percent matching requirement.
- All grantees will be required to submit a copy of an annual independent audit reviews submitted to First Things First.

In describing how the Applicant who becomes a successful grantee would evaluate their effectiveness, the Applicant should consider that any evaluation should be directly connected to the Goals, Key Measures, and the Performance Measures and should determine the extent to which the program has accomplished the stated goals and key measures. The evaluation should also measure program fidelity by assessing which activities were implemented and the

quality, strengths and weaknesses of the implementation. Successful Applicants agree to participate in the First Things First evaluation and will meet the requirements of the evaluation including, but not limited to, timely and regular reporting and cooperation with all First Things First evaluation activities. Timely and regular reporting of all performance and evaluation data includes the electronic submission (through the First Things First secure web portal) of performance measures and other evaluation data as proposed by the Applicant in this Request for Grant Application. Performance measure data must be submitted in its raw form (e.g. number of children served/proposed service number = 52 actual children served/50 proposed service number).

Successful Applicants are also required to collaborate with the First Things First longitudinal evaluation. The provider must participate in child assessment activities associated with the longitudinal evaluation including tracking and reporting to First Things First data pertaining to participant attendance, enrollment, and demographic information; all of which must be maintained in a secure and anonymous manner. In addition, Applicants agree to allow First Things First and evaluation consultants of First Things First to observe program activities on site and obtain parent consent for data collection related to evaluation efforts.

Coordination and collaboration with all FTF grantees is critical to developing a seamless service delivery system for children and families. Services and programs cannot be implemented in isolation and coordination and collaboration must occur within a region and across regions. FTF staff and Regional Councils will identify opportunities for collaboration and coordination with successful Applicants that become grantees of First Things First. Successful Applicants will be required to attend meetings and workgroups in the region being served to identify, develop and implement mechanisms around coordination and collaboration. Successful Applicants will also be required to share data with First Things First and the Regional Partnership Council that will be used for cross-regional analysis. Successful Applicants will also participate in cross-regional and statewide work that may include additional workgroups and meetings. In order to accomplish these tasks, Applicants should plan the appropriate budget that would include travel for monthly meetings within the regional area, four cross-regional meetings/workgroups held in various locations, and one statewide meeting to be held in Phoenix, Arizona. All travel related costs for these trainings and meetings should be included in the Applicant's budget and calculated using the State of Arizona travel policy as described on the budget narrative worksheet.

Performance Measures are defined by First Things First to determine the key impacts of the strategies, programs and approaches being implemented. Applicants are expected to collect and report data to First Things First on the progress of achieving the Performance Measures. Performance Measures for purposes of this RFGA are as follows:

Performance Measures:

- Number of families receiving counseling/support/education services/proposed service number
- Number of families recruited to participate/families participating
- Family attrition

- Counselor/staff attrition
- Number of families who successfully meet family service plan outcomes/actual service number
- Number of families reported satisfaction with provided services/actual service number
- Number of families showing increases in parenting knowledge and skill after receiving services/actual service number-using pre/post measure aligned with research based program requirements
- Total number of children served/proposed service number
- Number of children with health insurance/actual service number
- Number of children with completed well-child visits/ immunizations
- Number of children having dental visit before age one/actual service number
- Number of children screened for developmental delays/actual service number
- Number of children screened for vision and hearing/actual service number
- Number of families who report an increase in reading to their child in pre-post test (minimum questions provided by First Things First staff)/actual service number
- Number of families who report use of family centered practices (minimum questions provided by First Things First staff)/actual service number
- Number of AHCCS/KidsCare applications completed resulting in successful enrollment/actual number of applications completed

How Will Applications be Evaluated?

The review committee will evaluate Applications and recommend those for an award based on the following criteria:

- | | |
|--|-------|
| • Capacity of the Applicant for Addressing Needs | (20%) |
| • Addressing Goals and Key Measures | (5%) |
| • Proposed Program or Strategy | (25%) |
| • Implementation Activities | (25%) |
| • Resource and Budget | (10%) |
| • Evaluation Plan | (15%) |

Those Applicants not selected for funding will be notified in writing; however, pursuant to A.R.S. §41-2702 (E), all Applications shall not be open for public inspection until after grants are awarded. A.R.S. §41-2702 (G) also states the evaluator assessments shall be made available for public inspection no later than thirty (30) days after a formal award is made.

Application: Responding to the Scope of Work

To complete your Application, restate the question then provide a response to all numbered questions, one through 37. If the narrative response is accompanied by a completed attachment, please reference that attachment within your narrative response, where applicable.

Executive Summary (required – 1 page overview)

1. Provide a one (1) page narrative overview of the proposed project that includes a brief summary of the program or strategy, how it will be implemented, and the Applicant's capacity to implement this program and how success and outcomes will be measured.

Capacity for Addressing the Needs (20%)

This component creates a foundation for the proposal by focusing on: meeting the needs and building on assets; other individuals or groups who will play a role in the development or implementation of the program; and the capacity of the Applicant to meet the need and deliver the services.

Applicants must address Capacity for Addressing the Needs by completing the following questions and attachments, when applicable:

2. In addition to the stated needs and assets information reported in the Scope of Work section, identify any additional needs and assets data that supports the need/gap in service for the proposed program/strategy. Identify the sources of the data and how that data was collected.
3. Describe where the proposed family resource centers will be located, and how the location and hours of operation are accessible and inviting to families with young children.
4. Describe how children and families frequenting the family resource center or residing in the local, surrounding neighborhoods are at risk for low educational attainment and lack of parent supports.
5. Complete the First Things First Standard Data Collection Form (Attachment A). No additional narrative is required.
6. Provide a brief narrative description of your organization's capacity to address the needs and improve assets with similar programs previously implemented in the South Phoenix Regional Partnership Council area. Provide examples of experience implementing related programs and the outcomes of those programs. It should be noted that past performance on any grants might be taken into consideration in evaluation of your proposals. (In addition to the narrative, please complete Applicant's Experience, Attachment B.)
7. In order to implement the program or strategy, what capacity or infrastructure building will be needed? As there is intended to be a strong emphasis on collaborative partnerships for the implementation of this strategy, describe what external agency partnerships or additional resources will be needed, or how the Applicant will establish or strengthen relevant relationships with community-based organizations, consultants, or providers to successfully implement the program or strategy.
8. Describe any current or planned linkages to and engagement of the Regional Partnership Councils or other First Things First funded programs in the implementation of the proposed strategy/program. In addition, describe how you anticipate participating in the regional partnership area, cross regional partnership areas, and statewide efforts (meetings, data sharing, workgroups, etc.) to advance and sustain early care and education efforts for the birth through age five population.

9. Provide a brief narrative description of staff accountabilities and qualifications and list how much time each person will spend on the project. Further, describe how staff recruited will be geographically, culturally and linguistically responsive to the settings in which they work. In addition, complete Attachment C, Key Personnel Overview. You must also attach resumes for key individuals involved in the project or job descriptions for positions to be filled.
10. Provide a narrative description of how your organization coordinates and collaborates with other organizations and agencies to ensure seamless service delivery system is being established. In your description, include specific examples of coordination and collaboration that worked well and why.
11. If proposing a construction project you must describe:
 - Evidence of strong on-going support from the community and how such funding will enable this regional area to reach their pre-determined measurable outcomes and sustain efforts without grant funding
 - Description of other attempts to meet this need and narrative that describes how no other resources exist (other than matching funds) in the community to meet this need
 - Justification of how it is expected that sustainability and operational resources are available after the life of this grant award
 - Description of the anticipated possible ownership and maintenance for the capital asset should the entity no longer utilize the asset for the purpose for which funding support was approved by the Board
 - Describe the source and amount for the 50 percent matching requirement for new construction, major renovation or remodeling to existing property.
12. Provide an organization chart for the proposed project including the overall organizational structure and relevant reporting structure for the proposed project.

Addressing the Goal Area, Goal and Key Measures (5%)

This component captures the broad statements of intent (Goal) and the more specific Key Measures for these Goals. First Things First has identified fifteen Goals within six Goal Areas and Key Measures that align with Goals and indicate what we want to change. For more information on First Things First Goal Areas, Goals and Key Measures, please visit: http://www.azftf.gov/WhatWeDo/Impacting/Documents/azftf_Strategic_Road_Map2008.pdf.

This Request for Grant Application is seeking Applicants to address these specific Goals and Key Measures:

First Things First Goal Area to be addressed:

- Family Support

First Things First Goals to be addressed:

- First Things First will coordinate and integrate with existing education and information systems to expand families' access to high quality, diverse, and relevant information and resources to support their child's optimal development.

- First Things First will lead cross-system coordination efforts among state, federal, and tribal organizations to improve the coordination and integration of Arizona programs, services, and resources for young children and their families.
- First Things First will increase the availability, quality and diversity of relevant resources that support language and literacy development for young children and their families.

First Things First Key Measures to be addressed:

- Percentage of families with children birth through age five who report they are competent and confident about their ability to support their child's safety, health, and well-being
- Percentage of families who report they are satisfied with the level of coordination and communication among agencies serving their children
- Percentage of families with children birth through age five who report they are satisfied with the accessibility of information and resources on child development and health
- (OPTIONAL) Percentage of families of children birth through age five who report they maintain language and literacy rich home environments (e.g. children hear language throughout the day, children have opportunities for listening and talking with family members, books and other literacy tools and materials are available and accessible to children)

Applicants must address Goals and Key Measures by completing the following question:

13. Demonstrate your understanding of the Goal Area, Goal and Key Measures identified for the strategy by describing your organization's prior experience addressing similar goals and key measures. Please describe how the Goal Area, Goal, and Key Measures will be improved by the proposed strategy/program.

Strategies (25%)

This component identifies and describes the Applicant's program/strategy(ies) chosen to reach the stated Goals and Key Measures and also addresses the targeted individuals or groups to be reached.

The strategy chosen by the South Phoenix Regional Partnership Council to address the needs of the region are as follows:

- Increase the availability and capacity of family resource centers linking families to family support services, information, and high-quality social support.

Applicants must address Strategies by completing the following questions:

14. Describe how the Family Resource Center strategy will be implemented and how the proposed strategy addresses the Goal(s) and Key Measure(s) identified and scope of work described. How will the proposed strategy offer all families access to information and resources as well as linkages and referrals to other community and social services?
15. Describe the geographic location of the family resource center and how this location will address the needs of underserved locations in the region or expand or create additional resources for families of children birth through age five who are at risk. Is the family resource center being proposed an expansion of a current program or a new program?

In which of the South Phoenix Regional Partnership Council area zip codes will the family resource center be implemented? Is the location in an area where families routinely congregate? Describe how the proposed family resources will be easily accessible to families (hours of operation, location, etc.)

16. Describe the target population to be served by the identified program, be as specific as possible, and include targeted service numbers. Describe how the proposed strategy will serve a large number of at-risk children birth through age five and their families.
17. Explain how the selected strategy/program applies to the targeted population and explain how the selected strategies/programs are culturally competent, age appropriate and gender responsive. Describe how the proposed resource center reflects the social and cultural characteristics of the community as well as the age and needs of the participants.
18. Describe how the target population will be recruited and how recruitment efforts will target an at-risk, vulnerable population. Identify outreach, engagement and retention practices for participants/ families. If the resource center will be implemented in an early childhood education setting, how will those families be recruited to participate?
19. Describe the plan to provide services across all of the South Phoenix Regional Partnership Council Area.
20. Describe the role of parent liaisons or caseworkers at the proposed family resource center. What will their role be? How will they work with families of young children? Who will receive their services? How will they be supervised or supported?
21. Describe your organization's professional knowledge of the target population including your organization's capacity to address the needs and improve assets for this target population in the South Phoenix Regional Partnership Council Area.
22. Describe any research or evidence that supports the programs or activities selected, describing how selected programs or activities: 1) support early child development and the health of children birth through age five; 2) support families in raising their young children and fostering school readiness; or 3) reduce family stress and instability, protecting young children from the threat of abuse and neglect. You may attach relevant scientific research proving the effectiveness of the proposed program or strategy.
23. If applicable, describe how the Applicant's planned programs or activities address the First Things First Standards of Practice for Literacy or Community-Based Education.

Implementation

This component focuses on the steps that must be taken to put the strategy(ies) into action. It should include all the elements that will be required to operationalize the program.

Applicants must address Implementation Activities and Budget by completing the following questions:

Implementation Activities (25%)

24. Sequentially list the activities needed to operationalize the strategy(ies), including timelines and responsibilities using Attachment D, Implementation Plan, including how

families and communities will be involved in continuous ongoing program development. No additional narrative is required.

25. Describe any anticipated barriers to implementation and your plans to overcome those barriers.
26. Are there specific training that might be needed for existing and/or new staff. Describe how and when this training will be delivered and how the training will enhance professional development of staff specific to this project. This should also be included in the implementation plan (Attachment D).

Budget (10%)

Each attached budget form is provided as an example to ensure that the line item budget and budget narrative provide a clear and concise explanation of the methods used to determine the amounts for each line item in the proposed program budget. All budget forms must be signed by an authorized agency representative.

27. Submit the Funds Requested Form (Attachment E). No additional narrative is required.
28. Submit the Line Item Budget (Attachment F) using only the budget categories listed on the form. No additional narrative is required.
29. Submit the Budget Narrative (Attachment G) using only the budget categories listed on the form.
30. Submit the Disclosure of Other Funding (Attachment H). This list should include all other sources of funding currently received from other State or public agencies, Federal agencies, non-profit organizations and other sources that will be applied to the proposed program/strategy(ies). Note that statute A.R.S. §8-1183 provides for a prohibition on supplanting of state funds by First Things First expenditures, meaning that no FTF monies expended are to be used to take the place of any existing state or federal funding for early childhood development and health programs. In addition, the intent of First Things First funding is to address gaps and needs in the community rather than to fulfill budget shortfalls that may exist in any given year at the state or local levels. No additional narrative is required.
31. Describe your organization's business management system by completion of the Financial Systems Survey. Attach the Financial Systems Survey (Attachment I) to capture basic financial system/operational information to assess financial capacity early in the process. No additional narrative is required. As noted in the financial system survey, you are required to submit a complete copy of the most recent audited, reviewed or compiled financial statements as well as management letters and a schedule showing the TOTAL federal funds (by granting agency) expended by your agency for the most recent fiscal year. NOTE THAT ONLY ONE COPY OF EACH OF THESE DOCUMENTS NEEDS TO BE INCLUDED WITH THE APPLICATION MARKED "ORIGINAL".

The following applies to requested funds:

- Please note: Complete two separate budget forms, 1) a 5-month budget and 2) a 12-month budget estimate, for a total of 17 months. The first contract period will be 5 months and if renewed, the second contract period will be 12 months. The 5-month period is estimated to be February 1, 2010 through June 30, 2010. The 12-month period is estimated to be July 1, 2010 through June 30, 2011. Please make sure to separate

your budget narrative as well, include one narrative for the 5-month portion and one narrative for the 12-month portion.

- List all resources that will be needed to implement the program/strategy(ies) described. These financial resources may involve costs for personnel, employee related costs, training, travel, supplies, space, equipment, computer equipment necessary to enter data into the FTF data system, etc.
- Funding shall be limited to those items specifically listed in the proposed budget. Total funding may not be modified following award of the grant/contract. Requests for line item modifications, which do not change the total program funding, shall be requested in writing and shall only be made following receipt of written authorization from First Things First.
- Successful Applicants will be required to attend meetings and workgroups in the region being served to identify, develop and implement mechanisms around coordination and collaboration. Successful Applicants will also be required to share data with First Things First and the Regional Partnership Council that will be used for cross regional analysis. Successful Applicants will also participate in cross regional and statewide work that may include additional workgroups and meetings. In order to accomplish these tasks, Applicants should plan the appropriate budget that would include travel for monthly meetings within the regional area, four cross regional meetings/workgroups held in various locations, and one statewide meeting to be held in Phoenix, Arizona. All travel related costs for these trainings and meetings should be included in the Applicant's budget and calculated using the State of Arizona travel rate for mileage, per diem and lodging as described on the budget narrative worksheet. For more information about the state requirements, visit <http://www.gao.az.gov/travel/>.

Evaluation Plan (15%)

This component will address questions about how the program is working and what can be done to make the program more effective. The evaluation should be directly connected to the Goals, Key Measures, and Performance Measures and should determine the extent to which the program has accomplished the stated goals and key measures. The evaluation should also measure program fidelity by assessing which activities were implemented and the quality, strengths and weaknesses of the implementation. Successful Applicants agree to participate in the First Things First evaluation and will meet the requirements of the evaluation including, but not limited to, timely and regular reporting and cooperation with all First Things First evaluation activities. Timely and regular reporting of all performance and evaluation data includes the electronic submission (through the First Things First secure web portal) of performance measures and other evaluation data as proposed by the Applicant in this Request for Grant Application. Performance measure data must be submitted in its raw form (e.g., number of children served/proposed service number = 52 actual children served / 50 proposed service number).

Successful Applicants are also required to collaborate with the First Things First longitudinal evaluation. The provider must participate in child assessment activities associated with the longitudinal evaluation including tracking and reporting to First Things First data pertaining to participant attendance, enrollment, and demographic information; all of which must be

maintained in a secure and anonymous manner. In addition, Applicants agree to allow First Things First and evaluation consultants of First Things First to observe program activities on site and obtain parent consent for data collection related to evaluation efforts.

Performance Measures are defined by First Things First to determine the key impacts of the strategies, programs and approaches being implemented. Applicants are expected to collect and report data to First Things First on the progress of achieving the Performance Measures. Performance Measures for purposes of this RFGA are as follows:

Performance Measures

- Number of families receiving counseling/support/education services/proposed service number
- Number of families recruited to participate/families participating
- Family attrition
- Counselor/staff attrition
- Number of families who successfully meet family service plan outcomes/actual service number
- Number of families reported satisfaction with provided services/actual service number
- Number of families showing increases in parenting knowledge and skill after receiving services/actual service number-using pre/post measure aligned with research based program requirements
- Total number of children served/proposed service number
- Number of children with health insurance/actual service number
- Number of children with completed well- child visits/immunizations
- Number of expectant mothers receiving regular prenatal care/actual service number
- Number of children having dental visit before age one/ actual service number
- Number of children screened for developmental delays/actual service number
- Number of children screened for vision and hearing/actual service number
- Number of families who report an increase in reading to their child in pre-post test (minimum questions provided by First Things First staff)/actual service number
- Number of families who report use of family centered practices (minimum questions provided by First Things First staff)/actual service number
- Number of AHCCCS/KidsCare applications completed resulting in successful enrollment/actual number of applications completed

Applicants must include a plan for Evaluation and Quality Improvement by completing the following questions.

32. Describe any additional Performance Measures that will be collected during the implementation of the proposed strategy.
33. Who will have overall responsibility for the data collection and reporting? Be sure to include this person in your Key Personnel Overview (Attachment C).
34. How will the required data be collected? Describe how you will ensure that data entered into the First Things First web-based database after it has been collected is accurate and timely. What procedures will be in place to assure the quality of your data

(e.g., training for data collectors, data collection forms, timeliness for administering tools, etc.)?

35. Complete the Evaluation Plan Overview table in Attachment J.

36. What resources (e.g., personnel, supplies, computer, etc.) will be needed to complete necessary activities related to the quality data input and data collection of the program? In addition to a narrative description, the funds dedicated to evaluation should be reflected in the budget.

Quality Improvement

37. Describe the plan to use the results of First Things First evaluation efforts to improve the quality of the proposed program or strategy throughout the duration of this grant.

Instructions to Applicants

A. Inquiries

1. **Duty to Examine.** It is the responsibility of each Applicant to examine the entire RFGA, seek clarification in writing (inquiries), and examine its' Application for accuracy before submitting the Application. Lack of care in preparing an Application shall not be grounds for modifying or withdrawing the Application after the Application due date and time, nor shall it give rise to any Contract claim.
2. **RFGA Contact Person.** Any inquiry related to an RFGA, including any requests for or inquiries regarding standards referenced in the RFGA shall be directed solely to the RFGA contact person. The Applicant shall not contact or direct inquiries concerning this RFGA to any other State employee unless the RFGA specifically identifies a person other than the RFGA contact person as a contact.
3. **Submission of Inquiries.** The Grants and Contracts Procurement Specialist identified in this RFGA, who is the contact for all inquiries except at the Pre-Application Conference, requires that an inquiry be submitted in writing. Any inquiry related to the RFGA shall refer to the appropriate RFGA number, page and paragraph. Do not place the RFGA number on the outside of the envelope containing that inquiry, since it may then be identified as an Application and not be opened until after the Application due date and time. Electronic inquiries are acceptable. First Things First shall consider the relevancy of the inquiry but is not required to respond in writing.
4. **Timeliness.** Any inquiry or exception to the RFGA shall be submitted as soon as possible and should be submitted at least seven days before the Application due date and time for review and determination by First Things First. Failure to do so may result in the inquiry not being considered for an RFGA Amendment.
5. **No Right to Rely on Verbal Responses.** An Applicant shall not rely on verbal responses to inquiries. A verbal reply to an inquiry does not constitute a modification of the RFGA.

6. RFGA Amendments. The RFGA shall only be modified by a formal written RFGA amendment. Formal written amendments are posted on the First Things First website, www.azftf.gov. It is the sole responsibility of the Applicant to check the website regularly.
7. Pre-Application Conference. A Pre-Application Conference has been scheduled for this RFGA for October 19, 2009 at 1:00 p.m. at First Things First, 4000 N. Central Ave., Suite 800, 8th floor Board Room in Phoenix, Arizona. Applicants should raise any questions about the RFGA at that time. The Pre-Application Conference will clarify the contents of the RFGA in order to prevent any misunderstanding of First Things First's position. Any doubt as to the requirements of the RFGA or any apparent omission or discrepancy should be presented to First Things First at the Conference. An Applicant may not rely on any verbal responses to questions at the Conference. Material issues raised at the Conference that result in changes to the RFGA shall be answered solely through a formal written RFGA amendment. **Attendance at the Pre-Application Conference is strongly encouraged, but not mandatory.**
8. Persons with Disabilities. Persons with a disability may request a reasonable accommodation, such as a sign language interpreter, by contacting the RFGA contact person. Requests shall be made as early as possible to allow time to arrange the accommodation.

B. Application Preparation

1. Forms. No facsimile or electronic mail Applications shall be accepted. An Application shall be submitted using the forms provided in this RFGA or on their substantial equivalent. Any substitute document for the forms provided in this RFGA must be legible and contain the same information requested on the forms, unless the RFGA indicates otherwise.
2. Technical Requirements. Applications will be reviewed initially for compliance with technical requirements. Noncompliance with these requirements may result in the Application being deemed non-responsive, and therefore, not susceptible to award.
 - Responses should be typed, single-spaced with one-inch margins or wider with a twelve (12)-point font used.
 - Applications are not to be bound in spiral binders or in 3-ring notebooks. Please submit your Applications either stapled in the upper left-hand corner or use a binder clip.
 - Applications should be single sided, NOT duplexed.
 - Number all pages and include a table of contents that follows the underlined categories in the "Application: Responding to the Scope of Work" Section. Enclose one (1) original (clearly marked "ORIGINAL") and nine (9) additional copies.
 - All Attachments must be completed as instructed.

- The organization name and the Request for Grant Application Number **FTF-RC014-10-0234-00** must be clearly marked on the outside of the sealed envelope/package.

Please refer to the Checklist on Page 41 to verify inclusion of all required documentation and use of the proper format.

3. Evidence of Intent to be Bound. The Applicant Offer and Acceptance Form within the RFGA shall be submitted with the Application and shall include a signature by a person authorized to sign the Application. The signature shall signify the Applicant's intent to be bound by the Application, the terms of the RFGA and that the information provided is true, accurate and complete. Failure to submit verifiable evidence of intent to be bound, such as an original signature, shall result in rejection of the Application.
4. Exceptions to Terms and Conditions. All exceptions included with the Application shall be submitted in a clearly identified separate section of the Application in which the Applicant clearly identifies the specific paragraphs of the RFGA where the exceptions occur. Any exceptions not included in such a section shall be without force and effect in any resulting Contract unless such exception is specifically accepted by the Grants and Contracts Procurement Specialist in a written statement. The Applicant's preprinted or standard terms will not be considered by First Things First as a part of any resulting Contract. All exceptions that are contained in the Application may negatively affect First Things First's proposal evaluation based on the evaluation criteria stated in the RFGA or result in rejection of the Application.
5. Subcontracts. Applicant shall clearly list any proposed subcontractors and the subcontractor's proposed responsibilities in the Application.
6. Cost of Application Preparation. First Things First will not reimburse any Applicant the cost of responding to an RFGA.
7. RFGA Amendments. Each RFGA Amendment shall be signed with an original signature by the person signing the Application, and shall be submitted no later than the Application due date and time. Failure to return a signed copy of a RFGA Amendment may result in rejection of the Application.
8. Additional Materials. Additional materials such as promotional brochures or examples of other programs should not be submitted unless they directly relate to the information required in the Application.
9. Provision of Tax Identification Numbers. Applicants are required to provide their Arizona Transaction Privilege Tax Number and/or Federal Tax Identification number in the space provided on the Offer and Acceptance Form.

10. Disclosure. If the firm, business or person submitting this Application has been debarred, suspended or otherwise lawfully precluded from participating in any public procurement activity, including being disapproved as a subcontractor with any Federal, state or local government; or if any such preclusion from participation from any public procurement activity is currently pending, the Applicant shall fully explain the circumstances relating to the preclusion or proposed preclusion in the Application. The Applicant shall include a letter with its Application setting forth the name and address of the governmental unit, the effective date of this suspension or debarment, the duration of the suspension or debarment, and the relevant circumstances relating to the suspension or debarment. If suspension or debarment is currently pending, a detailed description of all relevant circumstances including the details enumerated above shall be provided.
11. RFGA Order of Precedence. In the event of a conflict in the provisions of this RFGA, the following shall prevail in the order set forth below:
 - 11.1 First Things First Special Terms and Conditions
 - 11.2 State of Arizona Uniform Terms and Conditions
 - 11.3 Scope of Work
 - 11.4 Attachments
 - 11.5 Exhibits
 - 11.6 Instructions to Applicants
 - 11.7 Other documents referenced or included in the RFGA

C. Submission of Application

1. Sealed Envelope or Package. One (1) original (clearly marked “original”) Application and nine (9) copies shall be submitted to the submittal location identified in this RFGA. Applications must be submitted in a sealed envelope or container. The envelope or container should be clearly identified with name of the Applicant and RFGA number. First Things First may open envelopes or containers to identify contents if the envelope or container is not clearly identified.
2. Late Applications. An Application submitted after the exact Application due date and time shall be rejected. Applications **must** be received by First Things First at the designated due date and time.
3. Application Amendment or Withdrawal. An Application may not be amended or withdrawn after the Application due date and time except as otherwise provided under applicable law.
4. Application Opening. Applications shall be opened publicly at the time and place identified in this RFGA. The name of each Applicant shall be read publicly and recorded.
5. Disqualification. An Applicant (including each of its principals) who is currently debarred, suspended or otherwise lawfully prohibited from any public procurement activity shall have its Application rejected.

6. Public Record. All Applications submitted and opened are public records and must be retained by First Things First. Applications shall be open to public inspection no later than 30 days after Contract award pursuant to A.R.S. §41-2702 (E), except for such Applications deemed to be confidential by First Things First. If an Applicant believes that information in its Application should remain confidential, it shall indicate as confidential the specific information and submit a statement with its Application detailing the reasons that the information should not be disclosed. Such reasons shall include the specific harm or prejudice which may arise. First Things First, pursuant to A.C.R.R. R2-7-104, shall review all requests for confidentiality and provide a written determination. If the confidential request is denied, such information shall be disclosed as public information, unless the person utilizes the "Protest" provision as noted in A.R.S. §41-2611 through §41-2616.
7. Application Acceptance Period. Applications shall be irrevocable for 120 days after the RFGA due date and time.
8. Non-collusion, Employment, and Services. By signing the Offer and Acceptance Form, the Applicant certifies that:
 - a. The Applicant did not engage in collusion or other anti-competitive practices in connection with the preparation or submission of its Application; and
 - b. The Applicant does not discriminate against any employee or applicant for employment or person to whom it provides services because of race, color, religion, sex, national origin, sexual orientation or disability, and that it complies with all applicable Federal, state and local laws and executive orders regarding employment.
9. Budget Limitations. In the event that the Applications received exceed the budget limitations, First Things First reserves the option to request a reduction in the scope of the Applicant's proposed program. If such an option is exercised by First Things First, funds shall be recommended for funding consideration according to the priority scores. Revised budget documents will be required. First Things First reserves the right to award contracts for less than the proposed amount and/or less than the available funds or make awards that exceed the posted available funds as additional funds become available.
10. Waiver and Rejection Rights. Notwithstanding any other provision of the RFGA, the State reserves the right to:
 - 10.1 Waive any minor informality,
 - 10.2 Reject any and all Applications or portions thereof, or
 - 10.3 Cancel the RFGA.

D. Award

1. Multiple Awards. In order to ensure adequate coverage of First Things First requirements, multiple awards may be made, but a single award may be considered if

the applicant demonstrates the ability to serve the entire South Phoenix Regional Council area.

2. Contract Inception. An Application does not constitute a Contract nor does it confer any rights on the Applicant to the award of a Contract. A Contract is not created until the Application is accepted in writing by the First Things First designee's signature on the Offer and Acceptance Form. A notice of award or of the intent to award shall not constitute acceptance of the Application.
3. Effective Date. The effective date of this Contract shall be the date that the First Things First designee signs the Offer and Acceptance form or other official contract form, unless another date is specifically stated in the Contract.

E. Protests

1. A protest shall comply with and be resolved according to A.R.S. §41-2611. Protests shall be in writing and filed with the Executive Director, Arizona Early Childhood Development and Health Board. A protest of an RFGA shall be received by the Grants and Contracts Procurement Specialist before the Application due date. A protest of a proposed award or of an award shall be filed within ten (10) days after the protester knows or should have known the basis of the protest. A protest shall include:
 - 1.1 The name, address and telephone number of the protester,
 - 1.2 The signature of the protester or its representative,
 - 1.3 Identification of the RFGA or Contract number,
 - 1.4 A detailed statement of the legal and factual grounds of the protest including copies of relevant documents, and
 - 1.5 The form of relief requested.

F. Comments Welcome

1. First Things First periodically reviews the Instructions to Applicants and welcomes any comments you may have. Please submit your comments to the Grants and Contracts Procurement Specialist, grants@azftf.gov

FIRST THINGS FIRST SPECIAL TERMS AND CONDITIONS

1. Term of Contract. The effective date of this Contract shall be the date that the First Things First designee signs the Offer and Acceptance form or other official contract form and shall remain in effect until June 30, 2010, unless terminated, cancelled or extended as otherwise provided herein.
2. Contract Renewal/Contract Amendment. This Contract shall not bind nor purport to bind First Things First for any contractual commitment in excess of the original contract period. First Things First shall have the right, with consult of the awardee, to issue a written contract amendment to expand services and increase funding awarded to compensate for the agreed upon service expansion. First Things First shall have the right, at its sole option, to renew the contract for two (2) one-year periods or a portion thereof. Contract awards may be increased, decreased, or not renewed based on

evaluation, programmatic and fiscal performance, the availability of funds, or the discretion of First Things First. If First Things First exercises such rights, all terms, conditions and provisions of the original contract shall remain the same and apply during the renewal period.

3. Reporting. At a minimum, grantees shall submit quarterly programmatic progress reports due by the 20th of the month following the quarter and will submit evaluation data reports and enter data into the FTF data system as determined by FTF evaluation staff. Failure to submit timely reports will result in suspension of reimbursement. The report shall contain such information as deemed necessary by First Things First.

Programmatic reports and requests for program and budget changes must be sent to:
First Things First
Regional Division – South Phoenix Regional Partnership Council
4000 N. Central Avenue, Suite 800
Phoenix, AZ 85012

4. Reimbursement/Payment. The Grantee shall be paid on a cost-reimbursement basis, at a maximum of monthly or a minimum of quarterly for those items submitted and approved in the budget inclusively. Reimbursement requests shall be submitted monthly or quarterly. **Grantee shall submit a final reimbursement request for expenses obligated prior to the date of contract termination no more than forty-five (45) days after the contract end.** Requests for reimbursement received later than forty-five (45) days after the contract termination will not be paid. **If awarded a contract, your organization must have sufficient funds to meet obligations for at least sixty- (60) days while awaiting reimbursements.** If an exception is requested to this requirement, it must be provided in writing in your Application describing the justification and need for alternative considerations.

Financial reimbursement requests must be sent to:
First Things First
Finance Division – South Phoenix Regional Partnership Council
4000 North Central Avenue, Suite 800
Phoenix, Arizona 85012

5. Confidentiality of Records. The Grantee shall establish and maintain procedures and controls that are acceptable to First Things First for the purpose of assuring that no information contained in its records or obtained from First Things First or from others in carrying out its functions under the contract shall be used by or disclosed by it, its agents, officers, or employees; except as required to efficiently perform duties under the contract. Persons requesting such information shall be referred to First Things First. Grantee also agrees that any information pertaining to individual persons shall not be divulged other than to employees or officers of the Grantee as needed for the performance of duties under the contract, unless otherwise agreed to in writing by First Things First.

6. Key Personnel. It is essential that the Grantee provide an adequate staff of experienced personnel, capable of and devoted to the successful accomplishment of work to be performed under this contract. The Grantee must assign specific individuals to the key positions, when possible or submit an official position description for which candidates must qualify. **Once assigned to work under the contract, if key personnel are removed or replaced, written notification shall be sent to First Things First.**
7. Orientation. A mandatory Orientation Meeting will be scheduled during the first quarter after awards are made and will provide all awarded grantees the information required to manage the contract.
8. Capital Expenditures. Items over \$5,000 with a life of more than one (1) year are allowable.
First Things First has established guidelines for capital expenditures and new construction.

Applicants will need to demonstrate strong justification to support the needs within a region, and in the case for facilities with matching funds, the Applicant's proposal will include costs for such items. All of the following should be demonstrated in the Applicant's response to the RFGA.

- Evidence of strong on-going support from the community for the capital improvement
 - Description of how funding such capital improvement will enable the region to reach their pre-determined measurable outcomes
 - What funds will be available to sustain the benefits of the capital request if approved
 - Description of other attempts to meet this need and narrative that describes how no other resources exist (other than matching funds) in the community to meet this need
 - Description of the anticipated possible ownership and maintenance for the capital asset should the entity no longer utilize the asset for the purposes for which funding support was approved by the Board.
 - Justification of how it is expected that sustainability and operational resources are available after the life of this grant award.
 - Description of the amount and source of 50 percent matching funds for specific capital requests to First Things First that includes the purchase of property, new construction, major renovation or remodeling to existing property
 - All grantees will be required to submit a copy of an annual independent audit reviews submitted to First Things First.
 - The Board may require a deed or title restriction requiring repayment of any funds used for a capital expenditure in the event of the disposal of the asset.
9. Working with Tribal Regional Partnership Council(s). A grantee must comply with requirements set forth by the Tribal Government in relation to essential functions of the

grants operation including data collection. It is the responsibility of the grantee to follow appropriate policy and procedures, complete IRB, parent consent, and appropriate tribal approvals as designated by tribal authorities.

10. Geographic Distribution. If Applications are not received from geographic areas within the region or if an Application submitted is not deemed applicable to funding by the review committee or falls below a review-scoring threshold, all funding may not be awarded or could be awarded to meet disparate geographic need for services. First Things First also reserves the right to fund more than one program in an area, to not award the entire amount of available funds, or to award an amount that is greater than the posted available funds.

STATE OF ARIZONA UNIFORM TERMS AND CONDITIONS

1. Contract Interpretation

- 1.1 Arizona Law. This Contract shall be governed and interpreted by the laws of the State of Arizona. The venue for any proceedings, actions, or suits arising from this Contract shall be in Maricopa County, Arizona.
- 1.2 Implied Contract Terms. Each provision of law and any terms required by law to be in this Contract are a part of this Contract as if fully stated in it.
- 1.3 Contract Order of Precedence. In the event of a conflict in the provisions of the Contract, as accepted by First Things First and as they may be amended, the following shall prevail in the order set forth below:
 - 1.3.1. First Things First Special Terms and Conditions
 - 1.3.2. State of Arizona Uniform Terms and Conditions
 - 1.3.3. Statement or Scope of Work
 - 1.3.4. Attachments/Exhibits
 - 1.3.5. Documents referenced or included in the RFGA
- 1.4 Severability. The provisions of this Contract are severable. Any term or condition deemed illegal or invalid shall not affect any other term or condition of the Contract.
- 1.5 No Parole Evidence. This Contract is intended by the parties as a final and complete expression of their contract. No course of prior dealings between the parties and no usage of the trade shall supplement or explain any terms used in this document and no other understanding either oral or in writing shall be binding.
- 1.6 No Waiver. Party's failure to insist on strict performance of any term or condition of the Contract shall not be deemed a waiver of that term or condition even if the party accepting or acquiescing in the nonconforming performance knows of the nature of the performance and fails to object to it.

2. **Contract Administration and Operation**

- 2.1 Records. Pursuant to A.R.S. §35-214 and §35-215, the Grantee shall retain and shall contractually require each subcontractor to retain all data and other “records” relating to the acquisition and performance of the Contract for a period of five years after the completion of the Contract. All records shall be subject to inspection and audit by First Things First at reasonable times. Upon request, the Grantee shall produce a legible copy of any or all such records.
- 2.2 Non-Discrimination. The Grantee shall comply with State Executive Order No. 99-4 and all other applicable Federal and State laws, rules and regulations, including the Americans with Disabilities and all applicable provisions and regulations relating to Executive Order No. 13279 – Equal Protection of the Laws for Faith-based and Community Organizations.
- 2.3 Audit. Pursuant to A.R.S. §35-214, at any time during the term of this Contract and five (5) years thereafter, the Grantee’s or any subcontractor’s books and records shall be subject to audit by First Things First and, where applicable, the Federal Government, to the extent that the books and records relate to the performance of the Contract or subcontract.
- 2.4 Financial Audit. In compliance with the Federal Single Audit Act (31 U.S.C. par., 7501-7507), as amended by the Single Audit Act Amendments of 1996 (P.L. 104 to 156), grant sub-recipients, as prescribed by the President’s Council on Integrity and Efficiency Position #6, expending Federal Grants from all sources totaling \$500,000 or more, must have an annual audit conducted in accordance with OMB Circular #A-133, “Audits of States, Local Governments and Non-profit Organizations.” **If you have expended more than \$500,000 in federal dollars, a copy of your audit report for the previous fiscal year must be submitted with your Application.**
- 2.5 Audit Trails. Grantee shall maintain proper audit trails for all reports related to this contract. First Things First reserves the right to review all program records.
- 2.6 Fund Management. The Grantee must maintain funds received under this contract in separate ledger accounts and cannot mix these funds with other sources. Grantee must manage funds according to applicable regulations for administrative requirements, cost principles and audits.

The Grantee must maintain adequate business systems to comply with State requirements. The business systems that must be maintained are:

- a. Financial Management
- b. Procurement
- c. Personnel
- d. Property
- e. Travel

A system is adequate if it is: 1) written; 2) consistently followed – it applies in all similar circumstances; and 3) consistently applied – it applies to all sources of funds.

- 2.7 Notices. All notices, requests, demands or communications by either party to this Agreement, pursuant to or in connection with this Agreement shall be in writing and shall be delivered in person or shall be sent by the United States Postal Service, certified mail, return receipt requested, to the respective parties at the following addresses:

Program:

First Things First
Regional Division – South Phoenix Regional Partnership Council
4000 N. Central Avenue, Suite 800
Phoenix, AZ 85012

Finance:

First Things First
Finance Division – South Phoenix Regional Partnership Council
4000 N. Central Avenue, Suite 800
Phoenix, AZ 85012

- 2.8 Advertising, Publishing and Promotion of Contract. The Grantee shall not use, advertise or promote information for commercial benefit concerning this Contract without the prior written approval of the Grants and Contracts Procurement Specialist.
- 2.9 Ownership of Information/Printed Material. First Things First reserves the right to review and approve all publications and/or media funded or partially funded through this contract. All publications funded or partially funded through this contract shall recognize First Things First as the funding source. First Things First shall have full and complete rights to reproduce, duplicate, disclose, perform, and otherwise use all materials prepared under this Agreement.

3. Funding/Payments

- 3.1. Funding. Requested funding must be submitted in an all-inclusive basis. The State will not reimburse any item other than the all-inclusive funding contained on the budget forms.
- 3.2. Tax Indemnification. Grantee and all subcontracts shall pay all Federal, state and local taxes applicable to its operation and any persons employed by the Grantee. Grantee shall, and require all subcontractors to hold First Things First harmless from any responsibility for taxes, damages and interest, if applicable, contributions required under Federal, and/or state and local laws and regulations and any other

costs including transaction privilege taxes, unemployment compensation insurance, Social Security and Worker's Compensation.

- 3.3. IRS Substitute W9 Form. In order to receive payment the Grantee shall have a current IRS Substitute W9 Form on file with State of Arizona, unless not required by law.
- 3.4. Availability of Funds for the Next Fiscal Year. Funds are not presently available for performance under this contract beyond the current fiscal year. Every payment obligation of First Things First under this Contract is conditioned upon the availability of funds appropriated or allocated for the payment of such obligation. If funds are not allocated and available for the continuance of this Contract, this Contract may be terminated by First Things First at the end of the period for which funds are available. No liability shall accrue to First Things First in the event this provision is exercised, and First Things First shall not be obligated or liable for any future payments or for any damages as a result of termination under this paragraph.

4. Contract Changes

- 4.1 Amendments. Any change in the contract including the scope of work and budget described herein, whether by modification or supplementation, must be accomplished by a formal written contract amendment signed and approved by and between the duly authorized representatives of the Grantee and First Things First. Any such amendment shall specify an effective date, any increases or decreases in the Grantee's compensation, if applicable, and entitled as an "Amendment" and signed by the parties identified in the preceding sentence. The Grantee expressly and explicitly understands and agrees that no other method and/or no other document, including correspondence, acts, and oral communications by or from any person, shall be used or construed as an amendment or modification or supplementation to the contract.
- 4.2 Subcontractors. The Grantee agrees and understands that no subcontract that the Grantee enters into with respect to performance under this contract shall in any way relieve the Grantee of any responsibility for performance of its duties. It is highly recommended by First Things First that a Memorandum of Understanding or some other type of contract is in place between the Grantee and a Subcontractor for services to be performed, and in which a payment amount has been negotiated and approved, to avoid any misunderstanding between both parties. The Subcontract shall incorporate by reference the terms and conditions of this Contract.
- 4.3 Assignment and Delegation. The Grantee shall not assign any right nor delegate any duty under this Contract without the prior written approval of the Grants and Contracts Procurement Specialist. First Things First shall not unreasonably withhold approval.

5. Risk and Liability

5.1. Indemnification. (Not Public Agency) The parties to this Contract agree that First Things First, its departments, Board and Councils shall be indemnified and held harmless by the Grantee for the vicarious liability of First Things First as a result of entering into this contract. However, the parties further agree that First Things First, its departments, Board and Councils shall be responsible for its own negligence. Each party to this contract is responsible for its own negligence.

5.2 Indemnification Language for Public Agencies Only. Each party (as 'indemnitor') agrees to indemnify, defend, and hold harmless the other party (as 'indemnitee') from and against any and all claims, losses, liability, costs, or expenses (including reasonable attorney's fees) (hereinafter collectively referred to as 'claims') arising out of bodily injury of any person (including death) or property damage but only to the extent that such claims which result in vicarious/derivative liability to the indemnitee, are caused by the act, omission, negligence, misconduct, or other fault of the indemnitor, its officers, officials, agents, employees, or volunteers.

5.3 Insurance Requirements. Grantee and subcontractors shall procure and maintain until all of their obligations have been discharged, including any warranty periods under this Contract, are satisfied, insurance against claims for injury to persons or damage to property which may arise from or in connection with the performance of the work hereunder by the Grantee, his agents, representatives, employees or subcontractors.

The *insurance requirements* herein are minimum requirements for this Contract and in no way limit the indemnity covenants contained in this Contract. First Things First in no way warrants that the minimum limits contained herein are sufficient to protect the Grantee from liabilities that might arise out of the performance of the work under this contract by the Grantee, its agents, representatives, employees or subcontractors, and Grantee is free to purchase additional insurance.

A. MINIMUM SCOPE AND LIMITS OF INSURANCE: Grantee shall provide coverage with limits of liability not less than those stated below.

1. Commercial General Liability – Occurrence Form

Policy shall include bodily injury, property damage, personal injury and broad form contractual liability coverage.

• General Aggregate	\$2,000,000
• Products – Completed Operations Aggregate	\$1,000,000
• Personal and Advertising Injury	\$1,000,000
• Blanket Contractual Liability – Written and Oral	\$1,000,000
• Fire Legal Liability	\$50,000
• Each Occurrence	\$1,000,000

- a. The policy shall be endorsed to **include coverage for sexual abuse and molestation.**
- b. The policy shall be endorsed to include the following additional insured language: ***"The State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Grantee".***
- c. Policy shall contain a waiver of subrogation against the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Grantee.

2. **Business Automobile Liability**

Bodily Injury and Property Damage for any owned, hired, and/or non-owned vehicles used in the performance of this Contract.

- Combined Single Limit (CSL) \$1,000,000
- a. The policy shall be endorsed to include the following additional insured language: ***"The State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees shall be named as additional insureds with respect to liability arising out of the activities performed by or on behalf of the Grantee, involving automobiles owned, leased, hired or borrowed by the Grantee".***
 - b. Policy shall contain a waiver of subrogation against the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Grantee.

3. **Worker's Compensation and Employers' Liability**

- Workers' Compensation Statutory
 - Employers' Liability
 - Each Accident \$ 500,000
 - Disease – Each Employee \$ 500,000
 - Disease – Policy Limit \$1,000,000
- a. Policy shall contain a waiver of subrogation against the State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees for losses arising from work performed by or on behalf of the Grantee.
 - b. This requirement shall not apply to separately, EACH Grantee or subcontractor exempt under A.R.S. §23-901, AND when such Grantee or subcontractor executes the appropriate waiver (Sole Proprietor/Independent Contractor) form.

4. Professional Liability (Errors and Omissions Liability)

- Each Claim \$1,000,000
- Annual Aggregate \$2,000,000
 - a. In the event that the professional liability insurance required by this Contract is written on a claims-made basis, Grantee warrants that any retroactive date under the policy shall precede the effective date of this Contract; and that either continuous coverage will be maintained or an extended discovery period will be exercised for a period of two (2) years beginning at the time work under this Contract is completed.
 - b. The policy shall cover professional misconduct or lack of ordinary skill for those positions defined in the Scope of Work of this contract.

- B. ADDITIONAL INSURANCE REQUIREMENTS: The policies shall include, or be endorsed to include, the following provisions:
1. The State of Arizona, its departments, agencies, boards, commissions, universities and its officers, officials, agents, and employees wherever additional insured status is required such additional insured shall be covered to the full limits of liability purchased by the Grantee, even if those limits of liability are in excess of those required by this Contract.
 2. The Grantee's insurance coverage shall be primary insurance with respect to all other available sources.
 4. Coverage provided by the Grantee shall not be limited to the liability assumed under the indemnification provisions of this Contract.
- C. NOTICE OF CANCELLATION: Each insurance policy required by the insurance provisions of this Contract shall provide the required coverage and shall not be suspended, voided, canceled, or reduced in coverage or in limits except after thirty- (30) days prior written notice has been given to the State of Arizona. Such notice shall be sent directly to (First Things First, Grants and Contracts Procurement Specialist, 4000 N. Central, Suite 800, Phoenix, AZ 85012) and shall be sent by certified mail, return receipt requested.
- D. ACCEPTABILITY OF INSURERS: Insurance is to be placed with duly licensed or approved non-admitted insurers in the state of Arizona with an "A.M. Best" rating of not less than A-VII. The State of Arizona in no way warrants that the above-required minimum insurer rating is sufficient to protect the Grantee from potential insurer insolvency.
- E. VERIFICATION OF COVERAGE: Grantee shall furnish the State of Arizona with certificates of insurance (ACORD form or equivalent approved by the State of Arizona) as required by this Contract. The certificates for each insurance policy are to be signed by a person authorized by that insurer to bind coverage on its behalf.
- F. All certificates and endorsements are to be received and approved by the State of Arizona before work commences. Each insurance policy required by this Contract must be in effect at or prior to commencement of work under this Contract and remain in effect for the

duration of the project. Failure to maintain the insurance policies as required by this Contract, or to provide evidence of renewal, is a material breach of contract.

- G. All certificates required by this Contract shall be sent directly to (First Things First, Grants and Contracts Procurement Specialist, 4000 N. Central, Suite 800, Phoenix, AZ 85012). The State of Arizona project/contract number and project description shall be noted on the certificate of insurance. The State of Arizona reserves the right to require complete, certified copies of all insurance policies required by this Contract at any time. DO NOT SEND CERTIFICATES OF INSURANCE TO THE STATE OF ARIZONA'S RISK MANAGEMENT SECTION.
- H. SUBCONTRACTORS: Grantees' certificate(s) shall include all subcontractors as insureds under its policies or Grantee shall furnish to the State of Arizona separate certificates and endorsements for each subcontractor. All coverages for subcontractors shall be subject to the minimum requirements identified above.
- I. APPROVAL: Any modification or variation from the *insurance requirements* in this Contract shall be made by the Department of Administration, Risk Management Section, whose decision shall be final. Such action will not require a formal Contract amendment, but may be made by administrative action.
- J. EXCEPTIONS: In the event the Grantee or sub-contractor(s) is/are a public entity, then the Insurance Requirements shall not apply. Such public entity shall provide a Certificate of Self-Insurance. If the Grantee or sub-contractor(s) is/are a State of Arizona agency, board, commission, or university, none of the above shall apply.

5.4 Force Majeure. If either party hereto is delayed or prevented from the performance of any act required in this Agreement due to acts of God, strikes, lockouts, labor disputes, civil disorder, or other causes without fault and beyond the control of the party obligated, performance of or payment for such act will be excused for the period of the delay.

5.5 Third Party Antitrust Violations. The Grantee assigns to First Things First any claim for cover charges resulting from antitrust violations to the extent that those violations concern materials or services supplied by third parties to the Grantee, toward fulfillment of this Contract.

6. Compliance

- 6.1 Compliance with Applicable Laws. The services supplied under this Contract shall comply with all applicable Federal, state and local laws, and the Grantee shall maintain all applicable licenses and permit requirements.
- 6.2 Sectarian Requests. Funds may not be expended for any sectarian purpose or activity, including sectarian worship or instructions.

- 6.3 Restrictions on Lobbying. The Grantee shall not use these funds to pay for, influence, or seek to influence any officer or employee of First Things First, state government or the federal government if that action may have an impact, of any nature, on this contract.
- 6.4 Licenses. Grantee shall maintain in current status all federal, state and local licenses and permits required for the operation of the business conducted by the Grantee.
- 6.5 Fingerprinting. Pursuant to A.R.S. §41-1758 Grantee will obtain fingerprint cards and/or background checks as applicable.

This Contract may be cancelled or terminated if the fingerprint check or the certified form of any person who is employed by a provider, whether paid or not, and who is required or allowed to provide services directly to children, discloses that a person has committed any act of sexual abuse of a child, including sexual exploitation or commercial sexual exploitation, or any act of child abuse or that the person has been convicted of or awaiting trial on any criminal offenses in this state or similar offenses in another state or jurisdiction.

7. State's Contractual Remedies

- 7.1 Right to Assurance. If First Things First in good faith has reason to believe that the Grantee does not intend to, or is unable to perform or continue performing under this Contract, the Grants and Contracts Procurement Specialist may demand in writing that the Grantee give a written assurance of intent to perform. Failure by the Grantee to provide written assurance within the number of Days specified in the demand may be, at First Things First's discretion, the basis for terminating the Contract under the First Things First Uniform Terms and Conditions or other rights and remedies available by law or provided by the contract.
- 7.2 Cancellation for Failure to Perform. Failure by the Grantee to adhere to any provision of this Agreement or its Attachments in the time and manner provided by this Contract or its Attachments shall constitute a material default and breach of this Contract and First Things First may cancel, at its option, this Agreement upon prior written notice.

First Things First may issue a written ten (10) day notice of default to the Grantee for acting or failing to act including but not limited to any of the following:

- The Grantee provides personnel that do not meet the requirements of this Agreement or are of an unacceptable quality.
- The Grantee fails to perform adequately the services required in this Agreement.
- The Grantee fails to furnish the required product or services within the time stipulated in this Agreement.
- The Grantee fails to make progress in the performance of the requirements of the Agreement and/or gives a positive indication that the Grantee will not or cannot perform to the requirements of this Agreement.

If the Grantee does not correct any problem(s) within ten (10) days after receiving the notice of default, First Things First may cancel the Contract. If First Things First cancels the Contract pursuant to this clause, First Things First reserves all rights or claims to damage for breach of the Contract and the Grantee agrees to a general release in favor of First Things First for any claim for reimbursement.

7.3 Non-Exclusive Remedies The rights and the remedies of First Things First under this Contract are not exclusive.

8. Contract Termination

8.1 Cancellation for Conflict of Interest. Pursuant to A.R.S. §38-511, First Things First may cancel this Contract within three (3) years after Contract execution without penalty or further obligation if any person significantly involved in initiating, negotiating, securing, drafting or creating the Contract on behalf of First Things First is or becomes at any time while the Contract or an extension of the Contract is in effect an employee of or a consultant to any other party to this Contract with respect to the subject matter of the Contract. The cancellation shall be effective when the Grantee receives written notice of the cancellation unless the notice specifies a later time. If the Grantee is a political subdivision of the State of Arizona, it may also cancel this Contract as provided in A.R.S. §38-511.

8.2 Suspension or Debarment. First Things First may, by written notice to the Grantee, immediately terminate this Contract if First Things First determines that the Grantee has been debarred, suspended or otherwise lawfully prohibited from participating in any public procurement activity, including but not limited to, being disapproved as a subcontractor of any public procurement unit or other governmental body. Submittal of an Application or execution of a contract shall attest that the Grantee is not currently suspended or debarred. If the Grantee becomes suspended or debarred, the Grantee shall immediately notify First Things First.

8.3 Termination for Convenience. First Things First reserves the right to terminate the Contract, in whole or in part at any time, when in the best interests of First Things First without penalty or recourse. Upon receipt of the written notice, the Grantee shall stop all work, as directed in the notice, notify all subcontractors of the effective date of the termination and minimize all further costs to First Things First. In the event of termination under this paragraph, all documents, data and reports prepared by the Grantee under the Contract shall become the property of and be delivered to First Things First upon demand. The Grantee shall be entitled to receive just, equitable compensation for work in progress, work completed, and materials accepted before the effective date of the termination. The cost principles and procedures provided in A.A.C. R2-7-701 shall apply.

8.4 Termination for Default. In addition to the rights reserved in the contract, First Things First may terminate the Contract in whole or in part due to the failure of the

Grantee to comply with any term or condition of the Contract, to acquire and maintain all required insurance policies, bonds, licenses and permits, or to make satisfactory progress in performing the Contract. The Grants and Contracts Procurement Specialist shall provide written notice of the termination and the reasons for it to the Grantee. Upon termination under this paragraph, all materials, documents, data and reports prepared by the Grantee under the Contract shall become the property of and be delivered to First Things First on demand. Upon termination of this Contract, First Things First may procure, on terms and in the manner that it deems appropriate, materials or services to replace those under this Contract. The Grantee shall be liable to First Things First for any excess costs incurred by First Things First in procuring services in substitution for those due from the Grantee.

9. Contract Claims

9.1 Arbitration. The parties to this Contract agree to resolve all disputes arising out of or relating to this contract through arbitration, after exhausting applicable administrative review, to the extent required by A.R.S. §12-1518, except as may be required by other applicable statutes (Title 41).

10. State of Arizona General Uniform Terms and Conditions

The latest edition of the Arizona Uniform General Terms and Conditions and Uniform Instructions to Applicants is incorporated into this Request for Grant Application by reference. Copies may be obtained from the Arizona State Procurement Office at (602) 542-5511 or at: <http://www.azdoa.gov/spo/documents-forms/procurement-documents>

Checklist

Use the following list to make sure your Grant Application is complete and meets the requirements specified in this request for grant Applications:

- ☐ One (1) original copy marked “original”, and nine (9) additional copies
- ☐ Completed and signed First Things First Offer and Acceptance form
- ☐ Table of Contents
- ☐ Application including Executive Summary and response to all 37 questions
- ☐ Standard Data Collection Form completed, Attachment A
- ☐ State of Arizona Substitute W-9 Form (must be downloaded and printed) signed, if applicable
- ☐ Applicant’s Experience completed, Attachment B
- ☐ Key Personnel Overview completed, Attachment C
- ☐ Implementation Plan completed, Attachment D
- ☐ Funds Requested Page, completed and signed, Attachment E
- ☐ Standard Line Item Budget, completed and signed, Attachment F
- ☐ Budget Narrative, completed and signed, Attachment G
- ☐ Disclosure of Other Funding Sources, completed and signed, Attachment H
- ☐ Financial Systems Survey is completed and signed, Attachment I
- ☐ Evaluation Plan, Attachment J
- ☐ Resumes for all personnel listed in the budget
- ☐ One copy of your agency’s most recent audited, reviewed or compiled financial statements as well as a schedule showing the total federal funds (by granting agency) expended by your agency for the most recent fiscal year included with the Application marked Original.
- ☐ Page numbers are included on all pages, in sequence, twelve point font or larger and single-spaced, with one inch margins or wider.
- ☐ All documents requiring signatures should have **ORIGINAL** signatures.
- ☐ Do **NOT** bind your Application in spiral binders or in 3-ring notebooks. Please submit your Applications either stapled in the upper left-hand corner or use a binder clip.
- ☐ When submitting your Application, insure your organization name and the Request for Grant Application Number **FTF-RC014-10-0234-00** is **CLEARLY** marked on the outside of the **SEALED** envelope/package.
- ☐ It is the responsibility of each Applicant to insure their Application is delivered to First Things First by the due date and time, **November 20, 2009 at 10:00 a.m. (Arizona MST)**. Allow for such contingencies as heavy traffic, weather, directions, parking, security, etc.

Attachments and Exhibit

Attachment A	Standard Data Collection Form
Attachment B	Applicant's Experience
Attachment C	Key Personnel Overview
Attachment D	Implementation Plan
Attachment E	Funds Requested Page
Attachment F	Standard Line Item Budget
Attachment G	Budget Narrative Explanation
Attachment H	Disclosure of Other Funding Sources
Attachment I	Financial Systems Survey
Attachment J	Evaluation Plan
Exhibit A	Sample Certificate of Insurance
Exhibit B	Community-Based Family Education Standard of Practice
Exhibit C	Early Literacy Standard of Practice
Exhibit D	Arizona Parents Kit Standard of Practice

Attachment A

FIRST THINGS FIRST STANDARD DATA COLLECTION FORM

A. Agency Information:

Program Name (if applicable) _____

Agency _____ Contact Person _____

Address _____ Position _____

Address _____ Email _____

City, State, Zip _____ Phone _____ x _____ Fax _____

County _____ Employer Identification Number: _____

Agency Classification: ☐ State Agency ☐ County Government ☐ Local Government ☐ Schools
 ☐ Tribal

☐ Faith Based ☐ Other

Have you previously conducted business with First Things First using this EIN? ☐ Y ☐ N

If **NO**, please go to the following website, download the State of Arizona Substitute W-9 Form and submit with your Application: http://www.gao.az.gov/Vendor/account_setup_home.asp.

In which Congressional (Federal) District is your agency? Enter District # _____
<http://www.azredistricting.org> (click on Final Maps)

In which Legislative (State) District is your agency? Enter District # _____
<http://www.azredistricting.org> (click on Final Maps)

Approximately how much FEDERAL funding (from a Federal Source) will your organization expend in your current fiscal year? \$ _____

What is your organization's fiscal year-end date? _____

Accounting Method: ☐ Cash ☐ Accrual

Does your organization undergo an annual independent audit in accordance with OMB Circular A-133? ☐ Y ☐ N

Please provide contact information of the audit firm conducting your audit:

Agency _____

Address _____

Phone Number _____

B. Proposed Program Information / Description:

Amount requested: _____

Service area of proposed program: _____

Target population of proposed program: _____

Number of participants to be served: _____

Please provide a **brief** description of the **proposed program** in one or two paragraphs.

C. Contact Information (Please copy this page as many times as needed.)

Program Agency – Indicate person to be primary contact with First Things First and who is directly responsible for ensuring that the program plan is implemented. All future program correspondence will be sent to **this person**.

Fiscal Agency - Indicates person responsible for financial matters pertaining to this grant.

Collaborator – Indicates all persons/agencies that have been identified as a collaborator, partner, or host site as a requirement of this grant.

<u>Program Agency</u> <input type="radio"/>	<u>Fiscal Agency</u> <input type="radio"/>	<u>Collaborator</u> <input type="radio"/>
Agency_____		Contact Person_____
Address_____		Position_____
Address_____		Email_____
City, State, Zip_____		Phone_____x_____Fax_____
County_____		

<u>Program Agency</u> <input type="radio"/>	<u>Fiscal Agency</u> <input type="radio"/>	<u>Collaborator</u> <input type="radio"/>
Agency_____		Contact Person_____
Address_____		Position_____
Address_____		Email_____
City, State, Zip_____		Phone_____x_____Fax_____
County_____		

<u>Program Agency</u> <input type="radio"/>	<u>Fiscal Agency</u> <input type="radio"/>	<u>Collaborator</u> <input type="radio"/>
Agency_____		Contact Person_____
Address_____		Position_____
Address_____		Email_____
City, State, Zip_____		Phone_____x_____Fax_____
County_____		

Attachment B

APPLICANT'S EXPERIENCE

Name and address of organization for which the service or activity was provided:
Location where services or activities were conducted:
Dates the service or activity was conducted: (e.g., October 2007 – September 2008)
Describe the services or activities that were provided:
Describe what was achieved with the services or activities: (e.g., increased knowledge among 20% of program participants, served 100 children, etc.)

Attachment C

KEY PERSONNEL OVERVIEW

STAFF MEMBER	BACKGROUND AND EXPERTISE OF PERSONNEL
Name: Title: FTE on this project:	
Name: Title: FTE on this project:	
Name: Title: FTE on this project:	
Name: Title: FTE on this project:	
Name: Title: FTE on this project:	
Name: Title: FTE on this project:	

***In addition to this overview, please attach a resume (for current personnel) or a job description (for positions to be hired) for the key individuals involved in the project.**

Attachment D

IMPLEMENTATION PLAN

Activities	Task	Person Responsible	Date Task Will Be Completed/Timeline	Support Documentation

Attachment E

FUNDS REQUESTED PAGE

The Offeror must state a firm, fixed total guaranteed not-to-exceed amount of funds requested for the Grant.

\$_____Total Funds Requested

Authorized Signature_____

Date_____

Job Title _____

Attachment F

Line Item Budgets

Please note: Complete two separate budget forms, 1) a 5-month budget and 2) a 12-month budget estimate, for a total of 17 months. The first contract period will be 5 months and if renewed, the second contract period will be 12 months. The 5-month period is estimated to be February 1, 2010 through June 30, 2010. The 12-month period is estimated to be July 1, 2010 through June 30, 2011.

Please make sure to separate your budget narrative as well, include one narrative for the 5-month portion and one narrative for the 12-month portion.

Please note the line items included in the budget template represent examples of the types of costs possible for a line item budget these line items may or may not be applicable or appropriate for your Application and it is expected that you would not need to utilize all of the sample line items.

Attachment F

STANDARD LINE ITEM BUDGET – NO MATCHING FUNDS

While you must use this format, you may reproduce it with Word Processing or Spreadsheet software. **Limit** your budget line items to the following categories: Personnel, Fringe Benefits, Professional Services, Travel, Pass-Through (i.e., Sub grants), Other Operating Expenses and Administrative/Indirect Costs and to the budget subcategories listed.

Budget period: February 1, 2010 – June 30, 2010

Budget Category	Line Item Description	Requested Funds	Total Cost
PERSONNEL SERVICES			
Salaries			
EMPLOYEE RELATED EXPENSES			
Fringe Benefits or Other ERE			
PROFESSIONAL AND OUTSIDE SERVICES			
Contracted Services			
TRAVEL			
In-State Travel			
Out of State Travel			
AID TO ORGANIZATIONS OR INDIVIDUALS			
Subgrants or Subcontracts to organizations/agencies/entities			
OTHER OPERATING EXPENSES			
<ul style="list-style-type: none"> • Telephones/Communications Services • Internet Access • General Office Supplies • Food • Rent/Occupancy • Evaluation (non-contracted and non-personnel expenses) • Utilities • Furniture • Postage • Software (including IT supplies) • Dues/Subscriptions • Advertising • Printing/Copying • Equipment Maintenance • Professional Development/Staff Training • Conference Workshops / Training Fees for Staff • Insurance • Program Materials • Program Supplies • Scholarships • Program Incentives 			
CAPITAL EQUIPMENT			
Equipment \$5,000 or greater in value			
NON-CAPITAL EQUIPMENT			
Equipment \$4,999 or less in value			
Subtotal Direct Program Costs:		\$	\$
Administrative/Indirect Costs:			
INDIRECT COSTS			
TOTAL COST			
Total		\$	\$

*As shown, a line item budget justification for each component **MUST** be included in the proposal that describes the procedure for determining the cost of budget categories. Detail in the line item budget narrative strengthens proposals. See the following page for budget narrative format.

Authorized signature_____

Date_____

Job Title_____

Attachment F

STANDARD LINE ITEM BUDGET – NO MATCHING FUNDS

While you must use this format, you may reproduce it with Word Processing or Spreadsheet software. **Limit** your budget line items to the following categories: Personnel, Fringe Benefits, Professional Services, Travel, Pass-Through (i.e., Sub grants), Other Operating Expenses and Administrative/Indirect Costs and to the budget subcategories listed.

Budget period: July 1, 2010 – June 30, 2011

Budget Category	Line Item Description	Requested Funds	Total Cost
PERSONNEL SERVICES			
Salaries			
EMPLOYEE RELATED EXPENSES			
Fringe Benefits or Other ERE			
PROFESSIONAL AND OUTSIDE SERVICES			
Contracted Services			
TRAVEL			
In-State Travel			
Out of State Travel			
AID TO ORGANIZATIONS OR INDIVIDUALS			
Subgrants or Subcontracts to organizations/agencies/entities			
OTHER OPERATING EXPENSES			
<ul style="list-style-type: none"> • Telephones/Communications Services • Internet Access • General Office Supplies • Food • Rent/Occupancy • Evaluation (non-contracted and non-personnel expenses) • Utilities • Furniture • Postage • Software (including IT supplies) • Dues/Subscriptions • Advertising • Printing/Copying • Equipment Maintenance • Professional Development/Staff Training • Conference Workshops / Training Fees for Staff • Insurance • Program Materials • Program Supplies • Scholarships • Program Incentives 			
CAPITAL EQUIPMENT			
Equipment \$5,000 or greater in value			
NON-CAPITAL EQUIPMENT			
Equipment \$4,999 or less in value			
Subtotal Direct Program Costs:		\$	\$
Administrative/Indirect Costs:			
INDIRECT COSTS			
TOTAL COST			
Total		\$	\$

*As shown, a line item budget justification for each component **MUST** be included in the proposal that describes the procedure for determining the cost of budget categories. Detail in the line item budget narrative strengthens proposals. See the following page for budget narrative format.

Authorized signature_____

Date_____

Job Title_____

Attachment F

LINE ITEM BUDGET – USE IF LISTING MATCHING FUNDS

While you must use this format, you may reproduce it with Word Processing or Spreadsheet software. **Limit** your budget line items to the following categories: Personnel, Fringe Benefits, Professional Services, Travel, Pass-Through (i.e., Sub grants), Other Operating Expenses and Administrative/Indirect Costs and to the budget subcategories listed.

Budget period: February 1, 2010 – June 30, 2010

Budget Category	Line Item Description	Requested Funds	Matching Funds/Source**	Total Cost
PERSONNEL SERVICES				
Salaries				
EMPLOYEE RELATED EXPENSES				
Fringe Benefits or Other ERE				
PROFESSIONAL AND OUTSIDE SERVICES				
Contracted Services				
TRAVEL				
In-State Travel				
Out of State Travel				
AID TO ORGANIZATIONS OR INDIVIDUALS				
Subgrants or Subcontracts to organizations/agencies/entities				
OTHER OPERATING EXPENSES				
<ul style="list-style-type: none"> • Telephones/Communications Services • Internet Access • General Office Supplies • Food • Rent/Occupancy • Evaluation (non-contracted and non-personnel expenses) • Utilities • Furniture • Postage • Software (including IT supplies) • Dues/Subscriptions • Advertising • Printing/Copying • Equipment Maintenance • Professional Development/Staff Training • Conference Workshops/ Training Fees for Staff • Insurance • Program Materials • Program Supplies • Scholarships • Program Incentives 				
CAPITAL OUTLAY***				
Construction/Land or building Improvements/Purchase of Land or Building				
CAPITAL EQUIPMENT				
Equipment \$5,000 or greater in value				
NON-CAPITAL EQUIPMENT				
Equipment \$4,999 or less in value				
Subtotal Direct Program Costs:		\$	\$	\$
Administrative/Indirect Costs:				
INDIRECT COSTS				
TOTAL COST				
Total		\$	\$	\$

Attachment F

LINE ITEM BUDGET – USE IF LISTING MATCHING FUNDS CONTINUED

*As shown, a line item budget justification for each component **MUST** be included in the proposal that describes the procedure for determining the cost of budget categories. Detail in the line item budget narrative strengthens proposals. See the following page for budget narrative format.

** Matching Funds are not required for most categories, but if listed, are subject to monitoring by First Things First.

*** Matching Funds are required for Capital Outlay

Authorized signature_____

Date_____

Job Title_____

Attachment F

LINE ITEM BUDGET – USE IF LISTING MATCHING FUNDS

While you must use this format, you may reproduce it with Word Processing or Spreadsheet software. **Limit** your budget line items to the following categories: Personnel, Fringe Benefits, Professional Services, Travel, Pass-Through (i.e., Sub grants), Other Operating Expenses and Administrative/Indirect Costs and to the budget subcategories listed.

Budget period: July 1, 2010 – June 30, 2011

Budget Category	Line Item Description	Requested Funds	Matching Funds/Source**	Total Cost
PERSONNEL SERVICES				
Salaries				
EMPLOYEE RELATED EXPENSES				
Fringe Benefits or Other ERE				
PROFESSIONAL AND OUTSIDE SERVICES				
Contracted Services				
TRAVEL				
In-State Travel				
Out of State Travel				
AID TO ORGANIZATIONS OR INDIVIDUALS				
Subgrants or Subcontracts to organizations/agencies/entities				
OTHER OPERATING EXPENSES				
<ul style="list-style-type: none"> • Telephones/Communications Services • Internet Access • General Office Supplies • Food • Rent/Occupancy • Evaluation (non-contracted and non-personnel expenses) • Utilities • Furniture • Postage • Software (including IT supplies) • Dues/Subscriptions • Advertising • Printing/Copying • Equipment Maintenance • Professional Development/Staff Training • Conference Workshops/ Training Fees for Staff • Insurance • Program Materials • Program Supplies • Scholarships • Program Incentives 				
CAPITAL OUTLAY***				
Construction/Land or Building Improvements/Purchase of Land or Building				
CAPITAL EQUIPMENT				
Equipment \$5,000 or greater in value				
NON-CAPITAL EQUIPMENT				
Equipment \$4,999 or less in value				
Subtotal Direct Program Costs:		\$	\$	\$
Administrative/Indirect Costs:				
INDIRECT COSTS				
TOTAL COST				
Total		\$	\$	\$

Attachment F

LINE ITEM BUDGET – USE IF LISTING MATCHING FUNDS CONTINUED

*As shown, a line item budget justification for each component MUST be included in the proposal that describes the procedure for determining the cost of budget categories. Detail in the line item budget narrative strengthens proposals. See the following page for budget narrative format.

** Matching Funds are not required for most categories, but if listed, are subject to monitoring by First Things First.

*** Matching Funds are required for Capital Outlay

Authorized signature_____

Date_____

Job Title_____

Attachment G

BUDGET NARRATIVE EXPLANATION

The purpose of the budget narrative is to provide more clarity and detail on the various budget line items. The budget narrative should explain the criteria used to compute the budget figures on the budget form. Please verify that the narrative and budget form correspond and the calculations and totals are accurate. ***Please include one narrative for each budget (5 month and 12 month). Limit your budget categories and subcategories to those listed.***

Personnel Services: *Include information such as position title(s), name of employee (if known), salary, time to be spent on this program (hours or %), number of months assigned to this program, etc. Explain how the salary rate for each position was determined. If salaries are expected to increase during the project year, indicate the percentage increases for each position and justify the percent of the salary increase. Also, be sure to include the scheduled salary increases on the Budget Form.*

Employee Related Expenses: *Include a benefit percentage and what expenses make up employee benefit costs. Indicate any special rates for part-time employees, if applicable. Explain how the benefits for each position were determined. If using a fringe benefit rate, explain how this percentage is justified or approved by your agency.*

Professional and Outside Services: *If professional consultants/services costs are proposed in the budget, define how the costs for these services were determined and the justification for the services related to the project. Explain how all contracts will be procured.*

Travel: *Separate travel that is in-state and out-of-state. Include a detailed breakdown of hotel, transportation, meal costs, etc. Indicate the location(s) of travel, the justification for travel, how many employees will attend and how the estimates have been determined. Explain the relationship of each cost item to the project (e.g., if training or training expenses are requested, explain the topic of the training and its relationship to the project). Applicants **must** use the State of Arizona Travel Policy on rates for mileage, lodging, and meals (<http://www.gao.az.gov/travel/> for both in-state and out-of-state travel.*

Aid to Organizations or Individuals: *In the event that this application represents collaboration and the contract will be utilizing other sub grantees or subcontractors to perform various components of the program, include a list of sub grantees, programmatic work each sub grantee will perform, and how costs for each sub grantee are determined.*

Other Operating Expenses: *Explain each item to be purchased, how the costs were determined and justify the need for the items. All purchases should be made through competitive bid or using established purchasing procedures. All items should be categorized in the following categories: Telephones / Communications Services, Internet Access, General Office Supplies, Food, Rent/Occupancy, Evaluation (non-contracted and non-personnel expenses), Utilities, Furniture, Postage, Software (including IT supplies), Dues/Subscriptions, Advertising, Printing/Copying, Equipment Maintenance, Professional Development/Staff Training, Conference Workshops/ Training Fees for Staff, Insurance, Program Materials, Program Supplies, Scholarships, and Program Incentives*

Capital Outlay: *If allowable within the scope of the grant, explain each item related to construction, land or building Improvements, or the purchase of land or a building, how the costs were determined and justify the need for the items.*

Capital Equipment: *For items that are tangible, non-expendable, and movable having a useful life of more than one year and a value of \$5000 or greater, explain each item to be purchased, how the costs were determined and justify the need for the items. All purchases should be made through competitive bid or using established purchasing procedures.*

Non-Capital Equipment: *For items with a unit cost less than \$5,000 and an initial estimated useful life beyond a single year, explain each item to be purchased, how the costs were determined and justify the need for the items. All purchases should be made through competitive bid or using established purchasing procedures. For example, items such as computers, printers, projectors, etc. each with a unit cost less than \$5,000.*

Administrative/Indirect Costs: *Administrative costs are general or centralized expenses of overall administration of an organization that receives grant funds and does not include particular program costs. For organizations that have an established federally approved indirect cost rate for Federal awards, indirect costs mean those costs that are included in the organization's indirect cost rate. Such costs are generally identified with the organization's overall operation and are further described in 2 CFR 220, 2 CFR 225, and 2 CFR 230.*

Applicants must list either Option A or Option B and provide proper justification for expenses included:

- ☐ **Option A - Administrative Costs:** *with proper justification, sub grantees may include an allocation for administrative costs for up to 10% of the total direct funds requested of the grant request. Administrative costs may include allocable direct charges for: costs of financial, accounting, auditing, contracting or general legal services; costs of internal evaluation, including overall organization's management improvement costs; and costs of general liability insurance that protects the organization(s) responsible for operating a project, other than insurance costs solely attributable to the project. Administrative costs may also include that portion of salaries and benefits of the project's director and other administrative staff not attributable to the time spent in support of a specific project.*

Or

- ☐ **Option B - Federally Approved Indirect Costs:** *If your organization has a federally approved indirect cost rate agreement in place, grantees may include an allocation for indirect costs for up to 10% of the grant request. **Applicants must provide a copy of their federally approved indirect cost rate agreement.***

Indirect costs are costs of an organization that are not readily assignable to a particular project, but are necessary to the operation of the organization and the performance of the project. The cost of operating and maintaining facilities, depreciation, and administrative salaries are examples of the types of costs that are usually treated as indirect.

Authorized Signature _____

Date _____

Job Title _____

Attachment H

DISCLOSURE OF OTHER FUNDING SOURCES

Please list all other funding that your organization currently receives from State or Public Agencies, Federal Agencies, Non-Profit Organizations, or any other source providing funding for the proposed Program*. A.R.S. §8-1183 provides for a prohibition on supplanting of state funds by First Things First expenditures, meaning that no FTF monies expended are to be used to take the place of any existing state or federal funding for early childhood development and health programs.

Use a continuation sheet if necessary. The following form may be reproduced with word processing software or another form may be created that contains all the information requested.

Type of Funding (Federal, State, local, other)	Received From	Amount	✓ If used for match on this grant
TOTAL:			

***This table should include only those funds that will support the program detailed in this Application.**

Authorized Signature_____

Date_____

Job Title_____

Attachment I

FIRST THINGS FIRST FINANCIAL SYSTEMS SURVEY

Name of Applicant: _____

Please answer every question by filling in the circle next to the correct answer. Attach materials and document comments as required.

As stewards of federal and state funds, First Things First awards funds to organizations (regardless of how small or large) that are both capable of achieving project goals/objectives and upholding their responsibility for properly managing funds as they achieve those objectives.

This survey will be used primarily for initial monitoring of the organization. This survey may also be used in evaluating the financial capability of the organization in the award process. Deficiencies should be addressed for corrective action and the organization should consider procuring technical assistance in correcting identified problems.

A. GENERAL INFORMATION

1. Has your organization received a Federal or State Grant within the last two years?	<input type="radio"/> YES <input type="radio"/> NO
2. Has your organization completed an A-133 Single Audit within the past two years? If yes, please attach a complete copy of your A-133 Audit, including, but not limited to, your Management Letter, Findings and Questioned Costs.	<input type="radio"/> YES <input type="radio"/> NO
3. If your organization has not completed an A-133 Single Audit, have your financial statements been audited, reviewed or compiled by an independent Certified Public Accountant within the past two years? If yes, please attach a complete copy of the most recent audited, reviewed or compiled financial statements. NOTE THAT ONLY ONE COPY OF YOUR AUDIT NEEDS TO BE INCLUDED WITH THE APPLICATION MARKED "ORIGINAL". It is not necessary to include additional copies with each copy of the completed Application.	<input type="radio"/> YES <input type="radio"/> NO
4. Please attach a schedule showing the TOTAL federal funds (by granting agency) expended by your agency for the most recent fiscal year. Note: If your organization had an A-133 Single Audit, a copy of the "Schedule of Expenditures for Federal Awards" can be submitted. ONLY ONE COPY IS NEEDED, TO BE INCLUDED WITH THE APPLICATION MARKED "ORIGINAL"	
5. Has your organization been granted tax-exempt status by the Internal Revenue Service?	<input type="radio"/> YES <input type="radio"/> NO <input type="radio"/> N/A
6. If you answered YES to question #5, under what section of the IRS code? O 501 C (3) O 501 C (4) O 501 C (5) O 501 C (6) O Other Specify: _____	
7. Does your organization have established policies related to salary scales, fringe benefits, travel reimbursement and personnel policies?	<input type="radio"/> YES <input type="radio"/> NO

B. FUNDS MANAGEMENT

1. Which of the following describes your organization's accounting system?	<input type="radio"/> Manual <input type="radio"/> Automated <input type="radio"/> Combination
2. How frequently do you post to the General Ledger?	<input type="radio"/> Daily <input type="radio"/> Weekly <input type="radio"/> Monthly <input type="radio"/> Other
3. Does the accounting system completely and accurately track the receipt and disbursements of funds by each grant or funding source?	<input type="radio"/> YES <input type="radio"/> NO
4. Does the accounting system provide for the recording of actual costs compared to budgeted costs for each budget line item?	<input type="radio"/> YES <input type="radio"/> NO
5. Are time and effort distribution reports maintained for employees working fully or partially on state or federal grant programs that account for 100% of each employee's time?	<input type="radio"/> YES <input type="radio"/> NO
6. Is your organization familiar with Federal Cost Principles (i.e., 2 CFR 220, 2 CFR 225, and 2 CFR 230)?	<input type="radio"/> YES <input type="radio"/> NO
7. How does your organization plan to charge common/indirect costs to this grant? NOTE: Those organizations using allocable direct charges must attach a copy of the methodology and calculations in determining those charges. Those organizations using a federally approved indirect cost rate must attach a copy of the approval documentation issued by the federal government.	<input type="radio"/> Direct Charges <input type="radio"/> Utilizing an Indirect Cost Allocation Plan or Rate

INTERNAL CONTROLS

1. Are duties of the bookkeeper/accountant segregated from the duties of cash receipt or cash disbursement?	<input type="radio"/> YES <input type="radio"/> NO
2. Are checks signed by individuals whose duties exclude recording cash received, approving vouchers for payment and the preparation of payroll?	<input type="radio"/> YES <input type="radio"/> NO
3. Are all accounting entries and payments supported by source documentation?	<input type="radio"/> YES <input type="radio"/> NO
4. Are cash or in-kind matching funds supported by source documentation?	<input type="radio"/> YES <input type="radio"/> NO
5. Are employee time sheets supported by appropriately approved/signed documents?	<input type="radio"/> YES <input type="radio"/> NO
6. Does the organization maintain policies that include procedures for assuring compliance with applicable cost principles and terms of each grant award?	<input type="radio"/> YES <input type="radio"/> NO

PROCUREMENT

1. Does the organization maintain written codes of conduct for employees involved in awarding or administering procurement contracts?	<input type="radio"/> YES <input type="radio"/> NO
2. Does the organization conduct purchases in a manner that encourages open and free competition among vendors?	<input type="radio"/> YES <input type="radio"/> NO
3. Does the organization complete some level of cost or price analysis for every major purchase?	<input type="radio"/> YES <input type="radio"/> NO

4. Does the organization maintain a system of contract administration to ensure Grantee conformance with the terms and conditions of each contract?	<input type="radio"/> YES <input type="radio"/> NO
5. Does the organization maintain written procurement policies and procedures?	<input type="radio"/> YES <input type="radio"/> NO

E. CONTACT INFORMATION

Please indicate the following information. In the event that First Things First has questions about this survey, this individual will be contacted.

Prepared By: _____

Job Title: _____

Date: _____

Phone/Fax/Email: _____

F. CERTIFICATION

I certify that this report is complete and accurate, and that the Grantee has accepted the responsibility of maintaining the financial systems.

Authorized Signature

G. COMMENT AND ATTACHMENTS

Please use the space below to comment on any answers in Sections A – D. Please indicate the Section and Question # next to each comment. Number of Attachments (please number each attachment): _____

COMMENTS:

Attachment J

EVALUATION PLAN

Performance Measure	Data to Collect	Plan for Data Collection	Plan for Using the Data	Quality Assurance

Exhibit A

SAMPLE CERTIFICATE OF INSURANCE

Prior to commencing services under this contract, the Grantee must furnish the state certification from insurer(s) for coverages in the minimum amounts as stated below. The coverages shall be maintained in full force and effect during the term of this contract and shall not serve to limit any liabilities or any other Grantee obligations.

Name and Address of Insurance Agency:		Company Letter:	Companies Affording Coverage:		
		A			
		B			
Name and Address of Insured:		C			
		D			
LIMITS OF LIABILITY MINIMUM - EACH OCCURRENCE		COMPANY LETTER	TYPE OF INSURANCE	POLICY NUMBER	DATE POLICY EXPIRES
Bodily Injury Per Person Each Occurrence Property Damage OR Bodily Injury And Property Damage Combined			Comprehensive General Liability Form Premises Operations Contractual Independent Contractors Products/Completed Operations Hazard Personal Injury Broad Form Property Damage Explosion & Collapse (If Applicable) Underground Hazard (If Applicable)		
Same as Above			Comprehensive Auto Liability Including Non-Owned (If Applicable)		
Necessary if underlying is not above minimum			Umbrella Liability		
Statutory Limits			Workmen's Compensation and Employer's Liability		
			Other		

State of Arizona and the Department named above are added as additional insureds as required by statute, contract, purchase order, or otherwise requested. It is agreed that any insurance available to the named insured shall be primary of other sources that may be available.

It is further agreed that no policy shall expire, be canceled or materially changed to affect the coverage available to the state without thirty- (30) days written notice to the State. This Certificate is not valid unless countersigned by an authorized representative of the insurance company.

Name and Address of Certificate Holder:

Date Issued: _____

Authorized Representative: _____

Exhibit B

First Things First Community-Based Family Education Programs Standards of Practice

Community-based family education programs work to enable families to build on their own strengths and capacities to promote the healthy development of children. Successful family education programs help parents acquire and internalize parenting and problem-solving skills necessary to build a healthy family. Effective parenting education develops nurturing and attachment, knowledge of parenting and of child development, parental resilience, and social connections and supports for parents. Research suggests that improving fundamental parenting practices reduces the likelihood of problem behaviors in children. It has been shown that parent-child relationships can be enhanced through parent training and family strengthening programs.

While these programs come in different forms, they have a common goal of increasing the level of family functioning and promoting healthy child development. Programs are embedded in their communities and contribute to the community building process. Parents should be able to access educational information in their community on a variety of child development topics. Information about where and when parenting education programs are available needs to be easily accessible by all interested persons.

Based upon Building Bright Futures, regional needs and assets reports, and preliminary information from the Family and Community Survey, we know that Arizona's parents and families with young children need information on child development; to develop parenting skills; and have access to resources. For those who do not qualify or choose to participate in a home visitation program, community-based family education programs serve as another opportunity for Arizona's parents and families to access education, information and resources.

While each First Things First funded community-based family education program may be uniquely designed, they all have a valuable role to play in meeting the complex needs of families and communities across the State of Arizona. First Things First focuses on programs and services that provide children with the best opportunities for school and life success. Funding decisions are based upon a robust process of review to ensure programs are supported by research, value the family and use approaches considered to be best practice which are responsive to the needs identified in a specific Region. First Things First funded programs shall supplement, not supplant, other state expenditures on, and federal monies received for early childhood development and health programs.

As stated in the Scope of Work, it is expected that community-based family education programs funded by First Things First will be comprehensive for the families they serve. Using a family-centered and strengths-based approach these programs will:

1. Provide resource and referral information (e.g. where to access regular developmental screenings).
2. Each family must receive information and support in each of the core areas: child development, parenting skills and resource and referral.
 - All domains of child development (physical, cognitive, social, emotional, language, sensory)
 - Appropriate child-adult interactions and development of parenting skills (i.e. physical touch, showing affection, spending time together, positive discipline, parental monitoring, early reading and language experiences and communication)
 - Resource & Referral Information-Identify supports and services available to families with young children, for example, health (e.g. nutrition; obesity; breastfeeding; physical activity; immunizations; oral health; insurance enrollment; participation in consistent medical/dental homes; participation in prenatal care; safety; developmental health, vision and hearing screening)

Programs may also help families:

- Identify their natural supports such as peer support.
- Access opportunities to participate in family literacy activities.

When implementing the Scope of Work, programs will:

#1: Provide services to families that are based upon a culture of trust and respect

A. Create a family-centered environment.

- Staff are from the community and have extensive knowledge of community resources
- Structure activities compatible with the family's availability and accessibility
- Demonstrate genuine interest in and concern for families

B. Clearly define program objectives with the families upon enrollment: understanding what the program will accomplish helps families become fully engaged in program services.

C. Create opportunities for formal and informal feedback and act upon it; ensure that input shapes decision-making.

D. Encourage open, honest communication.

E. Maintain confidentiality, being respectful of family members and protective of their legal rights.

#2: Support the growth and development of all family members; encourage families to be resources for themselves and others.

A. Encourage family members to build upon their strengths.

B. Publicity/outreach, literature and staff training reflect the commitment to effectively serve fathers.

C. Help families identify & acknowledge informal networks of support and community resources.

D. Create opportunities to enhance parent-child and peer relationships.

#3: Affirm, strengthen & promote families' cultural, racial and linguistic identities and enhance their ability to function in a multicultural society.

A. Create opportunities for families of different backgrounds to identify areas of common ground and to accept and value differences between them.

B. Strengthen parent and staff skills to advocate for themselves within institutions and agencies.

C. Hire staff who reflect the cultural and ethnic experiences and language of the families with whom they work and integrate their expertise into the entire program.

D. Provide ongoing staff development on diversity issues.

#4: Programs are flexible and continually responsive to emerging family and community issues.

A. Be accessible for families.

B. Ensure a manageable classroom size and appropriate staffing patterns. Calculate classroom size and staffing patterns based upon:

- Space, square footage. For adult-only sessions, there will be a maximum of 25 participants.
- Number of sessions held for families throughout a calendar week
- Program model. For example, for play groups that involve both adults and children, staffing patterns must demonstrate appropriate staff to family ratios (lead instructor and two teachers for eight families with two

year olds – while adults receive information from lead instructor, teachers will maintain a 1:4 ratio with the children).

- C. Engage families as partners to ensure that the program is beneficial. Families have regular input and feedback in programmatic planning to meet their needs.
- D. Develop a collaborative, coordinated response to community needs.

#5: Community-based programs provide ongoing staff development/training to ensure program quality and give staff an opportunity to develop professionally.

- A. Assess staff skills and abilities. They must be able to engage families while keeping a professional rapport.
- B. Provide ongoing staff development/training on the Standards of Practice principles.
- C. Supervisors should work with staff to prepare professional development plans.

#6: All Standards of Practice are modeled in all activities including planning, governance, and administration.

- A. The length of employment and experience/education are reflective of high quality staff. Staff are required to have a minimum of a Bachelors degree in early childhood development, education, family studies or a closely related field; or staff is extensively trained and can demonstrate competency in service provision (programs must provide documentation). Supervisors must also meet or exceed this degree requirement with at least two years of program management experience. If programs experience hardship in recruitment efforts, they must notify and consult with First Things First.
- B. Establish an effective, consistent supervisory system that provides support for all staff members and ensures accountability to participants, funders, and the community.
- C. Establish supervision as a collaborative process with mechanisms that support staff in difficult situations and provides regular discussion to reflect and debrief. Supervision will also include observation. It is important that supervisors spend time with staff as they provide education sessions to families to have a sense of how the service is being delivered. This will help supervisors and staff to identify coaching and mentoring opportunities.
- D. All staff work as a team, modeling respectful relationships of equality.
- E. Build a team of staff who is consistent with program goals, whose top priority is the well-being of families and children.
- F. Evaluation and monitoring is a collaborative, ongoing process that includes input from staff, families, program administrators, and community members.
 - 1. Activities, as identified by First Things First, include pre and post testing, self-assessment and opportunities for feedback
 - 2. Identify outreach, engagement and retention practices
 - 3. Must demonstrate program effectiveness mechanism. Programs must participate in data collection and reporting of performance measures.

Exhibit C

First Thing First Early Literacy Standards of Practice

In-Home Early Literacy Standards of Practice

Standard #1: Provide a Focus on Literacy

- Literacy acquisition encompasses the four domains of language (reading, writing, speaking and listening) and numeracy.
- Literacy instruction should be woven into the activities of all program components, and presented and practiced in contexts that are meaningful to families' lives and needs.
- Early literacy or precursor literacy skills include the following variables that are consistently shown to predict later literacy achievement :
 - *alphabet knowledge (AK): knowledge of the names and sounds associated with printed letters*
 - *phonological awareness (PA): the ability to detect, manipulate, or analyze the auditory aspects of spoken language (including the ability to distinguish or segment words, syllables, or phonemes), independent of meaning*
 - *rapid automatic naming (RAN) of letters or digits: the ability to rapidly name a sequence of random letters or digits*
 - *RAN of objects or colors: the ability to rapidly name a sequence of repeating random sets of pictures of objects (e.g., "car," "tree," "house," "man") or colors*
 - *writing or writing name: the ability to write letters in isolation on request or to write one's own name*
 - *phonological memory: the ability to remember spoken information for a short period of time.*
 - *concepts about print: knowledge of print conventions (e.g., left–right, front–back) and concepts (book cover, author, text)*
 - *print knowledge: a combination of elements of AK, concepts about print, and early decoding*
 - *reading readiness: usually a combination of AK, concepts of print, vocabulary, memory, and PA*
 - *oral language: the ability to produce or comprehend spoken language, including vocabulary and grammar*
 - *visual processing: the ability to match or discriminate visually presented symbols.*

Standard #2: Provide Family-Centered Programming

- Families are full partners in identifying their needs and priorities, shaping their goals, and making decisions about plans to achieve those goals.
- Program design is based on the needs, interests and goals of families.
- The home and family unit is valued as the most influential learning environment.
- Successful programs build on families' strengths and celebrate their successes.

Standard #3: Provide Sufficient Intensity and Duration of Services

- Programs must encourage families to attend regularly and to remain in the program long enough to meet their long-term goals of academic improvement and self-sufficiency.
- Typical dosage includes a minimum of 6 sessions at 2 hours per session.

Standard #4: Provide Flexibility and Adaptability within Program Service

- Successful programs will fit services to families, not families to the program.
- Services should be tailored to meet the needs of individualized families, both in content and in scheduling.
- Programs must remain flexible enough to recognize and accommodate the diverse interests and changing needs of families over time, including changes in support service needs (such as transportation, child care and work demands).
- Programs must also respond to changing needs and populations in their communities.

Standard #5: Ensure Partnership of Families and Service Providers

- Respect for the diverse languages, cultures and life experience of families is apparent in all aspects of program practice.
- Staff members view themselves as allies with other service providers in advocating for families within the larger community.
- Program staff must work with families and other service providers to create and use social and resource support networks in the community.

Standard #6: Align with Early Learning Guidelines

All family literacy programs should also take into consideration and align with the state's Early Learning Guidelines (ELG's) which describe the expectations about what children should know (understand) and do (competency and skills) across the different domains of learning.

In-Community Early Literacy Standards of Practice:

Standard #1: Parents/family member and children will participate together in regularly scheduled activities.

- Engage in regularly scheduled opportunities for literacy related parent-child interactions.
- Provide families with reading materials that reflect the beliefs and values of their home culture including language experience stories written and illustrated by parents for parent-child storytelling activities.
- Engage families in “natural” activities, natural to families from different cultures and relevant to families’ experiences and cultural perspectives.

Standard #2: Parent/family participants will engage in training that will assist them in improving skills needed to be their child’s first teacher and full partners in the education of their child.

- Provide age-appropriate child development activities.
- Increase involvement in their child’s education setting.
- Support a safe and healthy home environment.
- Provide opportunities for language-rich parent-child interactions.

Standard #3: Parent/family member will engage in adult literacy training of sufficient intensity and duration to assist them in attaining economic self-sufficiency.

- Acknowledge adult learners bring a series of strengths unique to their prior knowledge, abilities and experiences and this prior knowledge supports meaningful and relevant learning activities.
- Adult learners are actively involved in learning and can describe the relevance of instruction to their daily lives and their goals.
- Develop programs that help adult learners assess the skills they have and need to be successfully employed.

Standard #4: Children in the program have access to age-appropriate education to prepare for success in school and life.

- Teachers use a variety of instructional strategies to enhance child’s development.
- The program provides books, materials, images and experiences that represent children’s family life and cultural experiences as well as those they are not likely to encounter.
- Ensure the environment is literacy rich with many books, language experiences, stories, label materials and print materials.
- Acquires reading readiness skills:
 - Including listening to stories with understanding;
 - Including recognizing differences in sounds and letter-sound relationships; and
 - Including increasing vocabulary

Standard #5: Community based settings are comfortable for adults as well as children.

- Encourage settings where adequate space, proper equipment, furniture and locations welcome learners of all ages.
- Arrange physical environments in different ways to support different learning styles.

Standard #6: Linkages to other community service agencies.

- Work with other agencies to provide support services such as transportation, child care, meals, counseling and referrals.
- Provide time for staff to work with families to remove or reduce barriers to participation.
- Ensure collaboration and coordination of family literacy services, share information about families and work together to minimize barriers to participation.
- Ensure staff members from collaborating agencies have an understanding of this family literacy program.

Exhibit D

First Things First Utilizing the Arizona Parents Kit Standards of Practice

The Arizona Parent Kit is an adaptation of the California Parents Kit, developed and evaluated by the University of California, Berkeley, School of Public Health. Kit contents include: six videos/DVDs on prenatal care, child health and nutrition, child development, safety, quality child care, early literacy, and discipline; 80-page Arizona Parents Guide: a resource guide for families to accompany the videos/DVDs; and a chubby picture book for parents to read to their baby.

Through 2008, new parents received a kit free of charge during childbirth classes or upon discharge after childbirth at 21 of Maricopa County's 22 birthing hospitals through funding from Virginia G Piper Charitable Trust. FTF will provide funding for distribution in birthing hospitals outside of Maricopa County statewide beginning 2009.

Virginia G Piper Charitable Trust completed an initial evaluation of the program. Data indicates that the Arizona Parents Kit influenced parents' behaviors and, in combination with findings of the Berkeley evaluation, yields convincing evidence that the Kit is an effective education and information tool for parents. National data "provides evidence for the effectiveness of the kit in diverse community contexts. Knowledge gains in this study compared favorably with results from other studies. The kit also affected important parenting practices."

- Parents were more likely to put babies to sleep on their backs to minimize the chances of Sudden Infant Death Syndrome
- Increased the incidence and duration of breastfeeding.
- Increased the rate of reading to babies
- Increased the amount of time adults played with their babies.
- Produced more appropriate methods of dealing with infant behavior
- Increased the correct use of car seats

Implementation Requirements

For programs supporting use of the kit, program staff must be familiar with the kit's contents prior to incorporating into their regular practice. Applicants must describe their plan for how they will monitor and document this activity. While assessing the families' status or working with families, staff can ask the following questions:

- Where did you deliver the baby? (name of hospital, regional medical, birthing center or other)
- Were you offered a kit? If yes – have you taken a look at any of the contents? Let's take a look at what's inside – explore the contents with the family to familiarize them. If no – record in regular reporting to First Things First.
- Practitioners can encourage a family to return to their birthing hospital to obtain the kit or they may loan a kit to a family

When applicants propose to work directly with families or through an assessment process, staff should identify topics or emergent areas of need for a family. Encourage parents/adult primary caregivers to view a particular video or section of the guide. During a home visit, staff may choose to highlight a particular excerpt and have the family reflect thoughts and feelings. Based upon this information and reflection, staff may suggest activities that can be easily incorporated into the family's daily schedule or routine to best support their child's development. In addition, staff can encourage families to refer to the guide for information and resources. Program staff may also use the videos and guide to inform their work with families (e.g. refer to the guide for other local resources, supports or services).

Successful applicants must participate in evaluation and monitoring activities to demonstrate the Arizona Parent Kit effectiveness and provide data on performance measures. In addition, successful applicants are required to do the following:

- Attend an initial orientation meeting to review the activities and tasks associated with the Arizona Parent Kit
- Identify how and when the kit will be incorporated into existing curricula or practice.
- Regularly inform FTF if additional kits are needed.
- Document use of the kit and related activities.
- Collaborate with other service providers and community resources.
- Attend an annual meeting to continue support and use of the kit.

The cost of the Arizona Parent Kit is approximately \$22.00 per kit, which does not include shipping/delivery cost.

END OF REQUEST FOR GRANT APPLICATION

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